

# SAN GABRIEL/POMONA REGIONAL CENTER

## NOTICE OF MEETING

Notice is hereby given that the Board of Directors of the San Gabriel/Pomona Valleys Developmental Services, Inc. will hold their monthly Board meeting on the following date and at the listed location:

DATE: Wednesday, December 8, 2021

TIME: 7:15 p.m.

PLACE: THE MEETING SESSION IS OPEN TO THE PUBLIC VIA VIDEOCONFERENCE.

All SG/PRC Board and related Committee meetings will not be cancelled, however they will be temporarily adapted to video-conference to maintain distancing during the COVID-19 outbreak. All scheduling for such video-conferenced meetings will remain at their regularly scheduled times.

Join Zoom Meeting:  
Meeting ID: 234 566 141  
Password: 916227

The upcoming meeting will be convened via videoconference. Please check our website, [sgprc.org](http://sgprc.org) to access the videoconference link.

75 Rancho Camino Drive, Pomona, CA 91766  
(909) 620-7722

Program of San Gabriel/Pomona Valleys Developmental Services, Inc.

**SAN GABRIEL/POMONA**  
**REGIONAL CENTER**  
 75 Rancho Camino Drive  
 Pomona, CA 91766

MEETING AGENDA  
 BOARD OF DIRECTORS MEETING  
 (Meets 4<sup>th</sup> Wednesday of each Month)

Wednesday, December 8, 2021 at 7:15 p.m.  
**Videoconference Meeting**  
**ZOOM Meeting ID: 234 566 141**  
**Password: 916227**

**BOARD OF DIRECTORS**

Penelope Fode, Board President	Sheila James, 1 <sup>st</sup> Vice President
Shannon Hines, 2 <sup>nd</sup> Vice President	Bill Stewart, Treasurer
Gisele Ragusa, Immediate Past President	Susan Stroebel, VAC Chairperson
Preeti Subramaniam	Georgina Molina
Natalie Webber	Julie Lopez
Sherry Meng	Mary Soldato

		ACTION	MATERIAL	COLOR
7:15 - 7:25	CALL TO ORDER (Penelope Fode, President)	None	None	None
	● Roll Call	Quorum	None	None
	● Review Agenda	Info	Attached	White
	● Minutes of September 22, 2021 & October 27, 2021	Consent	Attached	White
7:25 – 7:30	GENERAL PUBLIC INPUT	Info	None	None
7:30 – 7:35	EXECUTIVE/FINANCE COMMITTEE (Penelope Fode, Anthony Hill & Staff)  Financial Report- Roy Doronila Review of Contracts -Vocational Innovations West-Lucina Galarza -Vocational Innovation West Transportation-Lucina Galarza -People’s Care Cameron- Lucina Galarza -Yadira Vasquez- Joe Alvarez -Consequence of Delayed Access to Early Intervention- Salvador Gonzalez -Impact of the Covid-19 Pandemic Health Response- Salvador Gonzalez	Consent	Attached	Ivory
7:35 – 7:40	COMMUNITY RELATIONS/LEGISLATIVE ADVISORY COMMITTEE (Penelope Fode and Julie Lopez)	Info	Attached	Orange

APPROXIMATE SCHEDULE	ITEM	ACTION	MATERIAL	COLOR
7:40 – 7:45	ADVISORY COMMITTEE FOR INDIVIDUALS SERVED AND THEIR FAMILIES (Shannon Hines and Sheila James)	Info	Attached	Yellow
7:45 – 7:50	VENDOR ADVISORY COMMITTEE (Susan Stroebel)	Info	Attached	Goldenrod
7:50 – 7:55	STRATEGIC DEVELOPMENT ADVISORY COMMITTEE (Gisele Ragusa)	Info	Attached	Green
7:55 -8:00	BOARD PRESIDENT’S REPORT (Penelope Fode) -ARCA Board Delegate Update -Board Secretary Vacancy	Info	None	None
8:00– 8:15	<b>EXECUTIVE DIRECTOR’S</b> REPORT (Anthony Hill, Executive Director) DDS Policies/Directives/Contract Requirements Brief COVID-19 update	Info	None	None
8:15	OTHER BOARD & COMMUNITY ANNOUNCEMENTS	Info	None	None
<b><u>ADJOURNMENT OF THE BOARD OF DIRECTORS MEETING</u></b>				
8:20	EXECUTIVE SESSION – Personnel Matter	Info	None	None

**SAN GABRIEL/POMONA DEVELOPMENTAL SERVICES, INC.**  
**BOARD OF DIRECTORS**  
***DRAFT* Minutes of the Meeting of the Board of Directors**  
**(A California Corporation)**

**September 22, 2021**

**ATTENDANCE**

The following members of the Board of Directors were present at said meeting:

**PRESENT:**

Penelope Fode  
Sheila James  
Shannon Hines  
Bill Stewart  
Anabel Franco – Vizcaino  
Gisele Ragusa  
Susan Stroebel  
Preeti Subramaniam  
Natalie Webber  
Georgina Molina  
Mary Soldato  
Julie Lopez

**STAFF:**

Anthony Hill, Executive Director  
Lucina Galarza, Director of Community Services  
Daniela Santana, Director of Client Services  
Roy Doronila, Chief Financial Officer  
Salvador Gonzalez, Director of Community Outreach and Compliance  
Yvonne Gratianne, Manager of Communications & Public Relations  
Erika Gomez, Exec. Assistant BOD  
Willanette Satchell, Executive Assistant

**ABSENT:**

Sherry Meng

**GUESTS:**

Bruce Cruickshank  
Sofia Benitez  
Nikisia Simmons  
Joseph Huang

**A. CALL TO ORDER:**

Penelope Fode, Board President, called the meeting to order at 7:16 p.m. Roll call was taken, and a quorum was established. Introductions of all participants were made.

- The agenda was reviewed, and an Executive Session was added regarding a personnel matter.
- The minutes for the August 25, 2021 meeting were reviewed and approved by the Board.

**M/S/C (James & Stewart) The Board approved the minutes.**



**B. PUBLIC INPUT:**

None

**C. EXECUTIVE/FINANCE COMMITTEE**

Roy Doronila, Chief Financial Officer, reported on the following:

**Financial Report**

In regional center operations, the allocation based on the B3 Amendment is projected to meet expenditure projections. Projections include estimated cost of wage enhancements, vacation buyouts and continuation of projects identified in the prior year. The operations allocation for fiscal year 2020-21 is currently at \$32,367,211 with projected expenditures of \$32,048,711. The current month's expenditure amounted to \$220,200 and the year-to-date expenditures is \$30,171,498 with projected remaining expenditures of \$1,944,157. This reflects a surplus of **\$251,556** in regular operations.

The Family Resource Center allocation is projected to meet expenditure projections, resulting in a zero-balance remaining in allocation. The current allocation is \$154,564 with projected expenditures of \$154,564.

The Lanterman Foster Grandparent/Senior Companion program has a current allocation in the amount of \$1,209,748, staff expect to spend the full amount. The Fairview program's is included in this amount. The Community Placement Plan (CPP) and DC ongoing Workload operations allocation is currently allocated at \$1,688,719. Expenditures for the year are projected to be within the allocated amount.

The Purchase of Service allocation is based on the B3 amendment in the amount of \$283,327,207. The current month's expenditure amounted to \$6,803,512 bringing the year-to-date expenditures for services to \$275,735,117. The remaining projected expenditures and late bills are in the amount of \$3,965,118 leaving a surplus, **\$9,061,047**. POS expenses directly related to COVID19 are included in this projection. CPP POS is in a separate line item, SG/PRC is missing an allocation for \$ 75,836 in start-up but is projected to be funded in future allocation.

The C1 Amendment will require the Board President's signature when it is received in a week or so.

**D. COMMUNITY RELATIONS/LEGISLATIVE COMMITTEE**

Julie Lopez shared that the committee received the monthly Community Outreach

Report and discussed bills that could impact the developmentally disabled community, such as: AB-455 and SB-639.

**E. ADVISORY COMMITTEE FOR INDIVIDUALS SERVED AND THEIR FAMILIES**

Shannon Hines reported that the committee was provided with a presentation on the regional centers' internal process for Self Determination. Mrs. Hines invited the members and public to attend the Advisory Committee for Individuals Served and Their Families meetings as they have informational trainings every month.

**F. VENDOR ADVISORY COMMITTEE (VAC)**

Susan Stroebel shared that the Early Intervention Subcommittee met several times to discuss the authorization system that has been changed. The Day Program Subcommittee has also been meeting to plan for the Trunk or Treat event happening on October 29, 2021. There is a Residential Subcommittee meeting scheduled for September 30, 2021. Lastly, Mrs. Stroebel thanked Mr. Hill for volunteering to be a part of the workgroup to help with the staffing crisis.

**G. STRATEGIC DEVELOPMENT COMMITTEE**

Gisele Ragusa reported that the committee reviewed the Board Survey and the future Board training topics were developed from the feedback. A memo with that information will be sent to DDS, as required. The training topics are as follows:

- October 27, 2021 - *Benchmarking and Performance Outcomes Communication*
- February 23, 2022 - *Advanced Strategic Planning and Development*
- April 27, 2022 - *Understanding Diversity, Equity and Inclusion (DEI)*
- July 27, 2022 - *Self-Determination: Full Implementation Update*

**H. BOARD OVERVIEW**

Penelope Fode shared the following information:

- ARCA Board Delegate Update
  - There was a training earlier that the following Board members attended: Preeti Subramaniam, Shannon Hines, Penelope Fode
  - There are a couple of meetings scheduled for October and Ms. Fode will provide an update about the information provided.

**I. EXECUTIVE DIRECTOR'S REPORT:**

Anthony Hill, Executive Director, discussed the following:

- Medical Enrollment Process – Staff were notified that the process changed and DDS provided some guidance moving forward. SG/PRC was the first

regional center to submit the forms but will have to resubmit with the requested changes. Mr. Hill will request more clarification on the requirements and the reason for them as some Board members expressed concern and confusion about the process.

- Brief COVID 19/Operations Overview:
  - Effective August 9th, 2021, SG/PRC employees were mandated to receive the COVID-19 vaccine or demonstrate proof of a valid exemption allowable under the guidance of the Equal Employment Opportunity Commission. SG/PRC has achieved 100% compliance in that all staff are either fully vaccinated or have an allowable legal exemption.
  - SG/PRC employees are required to disclose vaccination status immediately when conducting agency business at residential facilities, intermediate care facilities, skill nursing facilities, adult family homes, supported living arrangements, schools and any setting where an individual served lives, and upon request at jails, homeless shelters or other community or governmental agencies that might require disclosure. SG/PRC employees were designated as healthcare workers from the Los Angeles County Department of Public Health (LACDPH) during February 2021.

#### **J. PERFORMANCE CONTRACT PROCESS**

Salvador Gonzalez, Director of Community Outreach and Compliance, presented on the following information:

- The Process
- The Timelines
- Public Policy Measures
- Disparity/Equity Measures
- Compliance Measures
- 2021 Additional Suggestions
- 

The Board will get the opportunity to approve the Performance Contract at the next meeting.

#### **K. EXECUTIVE SESSION**

Personnel Matter

**Next meeting on Wednesday, October 27, 2021 at 7:15 p.m.**

**BOARD MINUTES FROM THE SEPTEMBER 22, 2021 MEETING**

Submitted by:

\_\_\_\_\_  
Anabel Franco, Board Secretary

\_\_\_\_\_  
Date

**SAN GABRIEL/POMONA DEVELOPMENTAL SERVICES, INC.**  
**BOARD OF DIRECTORS**  
***DRAFT* Minutes of the Meeting of the Board of Directors**  
**(A California Corporation)**

**October 27, 2021**

**ATTENDANCE**

The following members of the Board of Directors were present at said meeting:

**PRESENT:**

Penelope Fode  
Sheila James  
Shannon Hines  
Bill Stewart  
Anabel Franco – Vizcaino  
Gisele Ragusa  
Susan Stroebel  
Preeti Subramaniam  
Natalie Webber  
Georgina Molina  
Julie Lopez  
Sherry Meng

**ABSENT:**

Mary Soldato

**STAFF:**

Anthony Hill, Executive Director  
Lucina Galarza, Director of Community Services  
Daniela Santana, Director of Client Services  
Roy Doronila, Chief Financial Officer  
Salvador Gonzalez, Director of Community Outreach and Compliance  
Joe Alvarez,  
Lupe Magallanes, Associate Director, Early Start and Intake Services  
Rosa Chavez, Associate Director, Family & Transition Services  
  
Yvonne Gratianne, Manager of Communications & Public Relations  
Erika Gomez, Exec. Assistant BOD  
Willanette Satchell, Executive Assistant

**GUESTS:**

Bruce Cruickshank  
Sofia Benitez  
Nikisia Simmons  
Joseph Huang  
Albert Feliciano  
Michelle Nelson

**A. CALL TO ORDER:**

Penelope Fode, Board President, called the meeting to order at 7:16 p.m. Roll call was taken, and a quorum was established.

**B. PUBLIC INPUT:**

Albert Feliciano, SCDD LA, shared that State Council of Developmental Disabilities, LA Office, will host two trainings: IEP Meetings – How they work and how to get what you need and Understanding the Statutory Language on Self Determination.

### **C. EXECUTIVE/FINANCE COMMITTEE**

Anthony Hill, Executive Director, reported on the following:

#### **Contract Language Update:**

- With the guidance and direction that DDS provided the regional centers, SG/PRC will hire a Deaf and Hard of Hearing Specialist who will be responsible for supporting the expansion of Deaf and hard of hearing service resources, provide training and expertise to regional center staff, and coordinate with the Department of Developmental Services on statewide efforts.
- With the guidance and direction that DDS provided the regional centers, SG/PRC will hire specialized positions to help expand Self Determination with responsibilities that involve supporting persons and or families served by SG/PRC to gain an understanding of and access to Participant-Directed Services and the Self-Determination Program.
- Regional Centers will receive an allocation for a Service coordinator that will work with families with low POS.

**C-1 Amendment** – The C-1 Amendment was received.

**M/S/C (Stewart & Hines) the Board approved that the Board President, Penelope Fode, sign the amendment.**

**Abstain: Stroebel**

**Board Composition** - The Lanterman Act requires the Board to meet a certain criteria, including specific ethnicity representation. Every year DDS measures compliance. Last year DDS determined SG/PRC didn't meet the requirements in the Asian and Hispanic representation. SG/PRC did not meet the requirements again this year. In discussion with the Strategic Development Committee members, they raised the following arguments:

- The Board is smaller in size
- There is enough Hispanic and Asian representation for the size of the Board
- The goal should be to recruit African American representation
- There is diversity in the committees

### **D. REVIEW OF PERFORMANCE CONTRACT**

The Board were presented with the draft Performance Contract last month by Salvador Gonzalez, Director of Community Outreach and Compliance. He shared that he did not receive feedback.

**M/S/C (Ragusa & Hines) The Board approved the Performance Contract.**

**E. PERFORMANCE CONTRACT PROCESS**

Salvador Gonzalez, Director of Community Outreach and Compliance, and staff presented on the following:

- Regional Center Performance Contract Reports
- Section 4571
- Public Policy Measure #19
- Early Intervention
- The Main Components
- Early Start Program Audit Reviews
- No/Low POS in Early Intervention
- Child Find Mandate
- Three Main Components
- Children and Teenagers
- Adults Live Independently With or Without Paid Supports
- Adults Live with Families
- Children served by SG/PRC requiring licensed residential care live in small, home-like settings
- Adults who reported having competitive integrated employment in their IPP
- Planned Activities
- Public Policy
- Programs to Promote Employment
- Planned activities for employment measures

**F. EXECUTIVE SESSION**

Personnel Matter

**Next meeting on Wednesday, December 8, 2021 at 7:15 p.m.**

**BOARD MINUTES FROM THE OCTOBER 27, 2021 MEETING**

Submitted by:

\_\_\_\_\_  
Penelope Fode, Board President

\_\_\_\_\_  
Date



**S**AN GABRIEL/POMONA  
REGIONAL CENTER

# Committee Reports & Information



**October-December 2021**

## Executive/Finance Committee Meeting

11/10/2021 @ 7:15 p.m.

*PRESENT:* Penelope Fode; Gisele Ragusa; Sheila James; Shannon Hines;  
Bill Stewart; Natalie Webber

*ABSENT:* Anabel Franco (resigned from all committees)

*STAFF PRESENT:* Anthony Hill; Willanette Steward/Satchell; Lucina Galarza;  
Roy Doronila

- 1) Meeting called to order at 7:20 p.m.
  - a. Members were speaking about Mrs. Franco and asked “Can someone do something maybe from Grubhub on behalf of the Board so that they can have something to eat?” Lots of discussions held, but no definitive conclusion on any specific issues.
- 2) Review Agenda ~ Approved as is:
  - a. Adjustments ~ **There will be a closed session for the officers only.**
- 3) Approve Minutes from October 13<sup>th</sup> ~ Approved (Ragusa/Hines).
- 4) Public Input: **NONE**
- 5) Financial Report (Roy):
  - a. Notations / Comments ~ (noted small deficit OPS); reviewed each area, discussions/clarifications held. (Please see attached report).
  - b. Approval (Stewart/James).
- 6) Contracts for Review (Lucina Galarza):
  - a. Vocational Innovations ~ (2 programs: 1-West / 2-Monrovia site w/ Transportation Component)
    - i. West ~ **NOT** Approved (Needs adjusting/more specific information and then brought back to Committee) ~ Lucina will work with Erika Gomez on the revision.
    - ii. Monrovia/Transportation ~ Approved to move forward to the Board (James/Ragusa)
  - b. People’s Care Cameron ~ Approved to move forward to the Board (Ragusa/James)

*(Discussions held on all contracts) ~ In the future, they ask that if members notice an issue on the contracts, please send an e-mail and make sure “everyone” is included so that they can all comment and notifications can be included for all to see. This should help with the back and forth for any potential revisions before being presented to the Committee.*

7) Board President’s Report (Penelope Fode):

- a. Agenda for Board Meeting ~ December 8<sup>th</sup>:
  - i. Penelope mentioned Anabel F.’s stepping down.
  - ii. Looking into process of replacing her on Board and other Committees, etc.
  - iii. Shannon will temporarily step in until elections can be held. (Gisele Ragusa spoke about the bylaws on officer appointments. Shannon cannot take on 2 roles but can step in as the interim with a Board vote. It was decided to put on agenda for January, seeing that everyone will not be available (Shiela’s suggestions). Discussions held. Too much to be considered during this holiday period. Penelope will send out an email to the full Board in the interim for their input.
  
- b. Agenda for Executive/Finance Comm. Mtg. ~ January 12<sup>th</sup>:
  - i. Anthony Hill- wants to add a clinical contract to be presented by Joe Alvarez & Lupe Magallanes, for Early Start referral increases. (Psychologist, independent contractor)
  - ii. Nomination for Anabel’s position
  - iii. January Strategic Dev ~ add nomination of Secretary Position / then move forward to Exec/Fin. Same discussion for moving forward to the FULL Board.

8) Information (Anthony Hill):

- a. Implementation of Rate Model ~ Anthony Hill spoke about staffing issues, other RC positions/DDS conversations, etc. Explanation discussions held. Decision to have presentation/discussions regarding overview of implementation of rates (Anthony Hill/Lucina Galarza, etc.) Policy around understanding for families, etc.
  
- b. SDP Ombudsperson ~ Katie Hornberger was recently appointed to this position. Spoke about allocated funds for SDP specialty positions

and what SG/RPC has done to accommodate this area/new positions, etc.

- c. DSP Staffing Shortages / Labor Market ~ (rollover) Not discussed due to time constraint.
- d. Social/Recreation Service Standard ~ DDS issued guidance to regional centers related to restoration of camping services, associated travel expenses, and social recreation activities for all ages. Service Coordinators will discuss these restored services within conversations held during planning team meetings. Director's Report October 20, 2021.
  - a. Brief COVID-19 Update ~ spoke about that gentleman from CDPH, who was promoted and is now DDS's boss. Spoke about the flu shot/booster clinic on the 4<sup>th</sup>, etc.
  - b. Although not on the agenda, the Trunk or Treat event was discussed. Including the Christmas ornaments for the Capitol Tree Lighting event.

9) Meeting Adjourned @ 8:59p.m.

***Executive Session re: Personnel Issues commenced.***

# San Gabriel Pomona Regional Center

To: The Board of Directors  
From: Roy Doronila, CFO  
Date: November 3, 2021  
Subject: Financial Report Notes

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Attached, for your review and approval are the Draft Copies of the Operations and Purchase of Services monthly financial reports for the month of September 2021 in the Fiscal Year 2021-22. These expenditures are for services paid through October 18, 2021. These will be presented at the Executive Finance Committee meeting Wednesday evening, November 10, 2021.

In regional center operations, our allocation based on the C-1 Amendment is projected to meet expenditure projections. Projections include operating continuation cost and expenditures from the prior fiscal year. Our operations C-1 allocation for fiscal year 2021-22 is currently at \$34,724,969 with projected expenditures of \$33,144,872. Our year-to-date expenditures is \$8,000,277 with projected remaining expenditures of \$25,144,595. This results to a surplus of **\$1,580,097** in regular operations.

The Family Resource Center allocation is projected to meet expenditure projections, resulting in a zero-balance remaining in allocation. The current allocation is \$154,564 with projected expenditures of \$154,564.

The Lanterman Foster Grandparent/Senior Companion program has a current allocation in the amount of \$1,218,040, we expect to spend the full amount. The Fairview program's is included in this amount.

The Community Placement Plan (CPP) and DC ongoing Workload operations allocation was **not** allocated in the C-1 amendment. We expected continuation funding at the same level as last year in the amount of \$1,686,264. In the event, that we do not receive the full allocation for CPP and DC Closure Ongoing expenditures, our regular operations budget would have to absorb these costs, thereby resulting to a deficit of **(\$106,167)** for the year.

The Purchase of Service allocation is based on the C1 amendment in the amount of \$318,032,645. The current month's expenditure amounted to \$21,687,665 bringing the year-to-date expenditures for services to \$60,319,106. The remaining projected expenditures and late bills are in the amount of \$256,917,638 leaving a surplus, **\$5,795,901**.

CPP POS is in a separate line item, we are allocated \$100,000 for placement only.

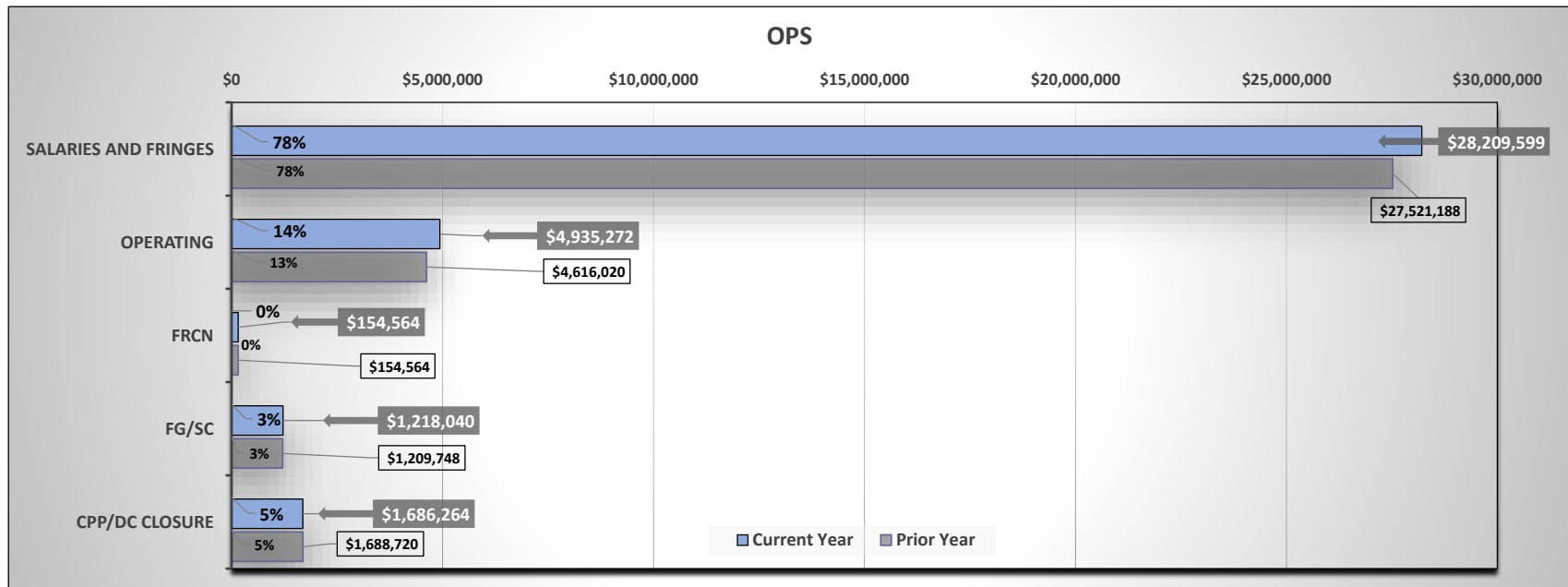
Please note that the prior year (FY 20/21) information is included in the graph for comparison.

**SAN GABRIEL/POMONA REGIONAL CENTER**  
**FINANCIAL REPORT**  
**FISCAL YEAR 2021-22**

PAYMENTS THROUGH OCTOBER 18, 2021 FOR SERVICES PROVIDED THROUGH SEPTEMBER 30, 2021

**OPERATIONS (OPS)**

<b>REGIONAL CENTER</b>	MTD	YTD	Remaining	Total	<i>FY 20/21</i>
Salaries and Fringes	\$1,966,156	\$6,590,680	\$21,618,919	\$28,209,599	\$27,521,188
Operating Expenses	\$293,137	\$1,409,597	\$3,525,676	\$4,935,272	\$4,616,020
<b>Total</b>	<b>\$2,259,294</b>	<b>\$8,000,277</b>	<b>\$25,144,595</b>	<b>\$33,144,872</b>	<b>\$32,137,208</b>
Allocation ( C-1 )				\$34,724,969	\$32,367,211
<b>Surplus/(Deficit)</b>				<b>\$1,580,097</b>	<b>\$230,003</b>
<b>RESTRICTED OPS FUNDS</b>					
Family Resource Center	\$12,436	\$12,436	\$142,128	\$154,564	\$154,564
Foster Grandparent/Senior Companion	\$58,806	\$163,555	\$1,054,485	\$1,218,040	\$1,209,748
CPP and DC Closure Ongoing	\$0	\$0	\$1,686,264	\$1,686,264	\$1,688,720
<b>Total</b>	<b>\$71,242</b>	<b>\$175,991</b>	<b>\$2,882,877</b>	<b>\$3,058,868</b>	<b>\$3,053,032</b>
Allocation ( C-1 )				\$1,372,604	\$3,053,032
<b>Surplus/(Deficit)</b>				<b>(\$1,686,264)</b>	<b>\$0</b>

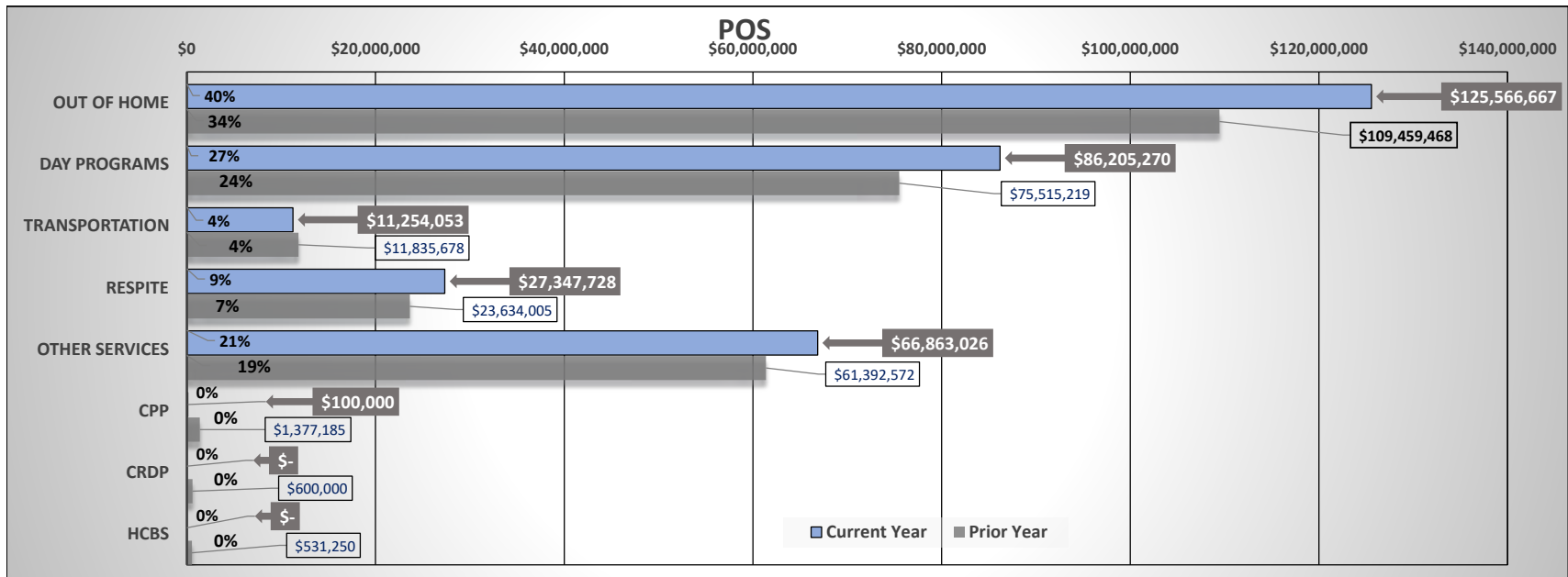


**SAN GABRIEL/POMONA REGIONAL CENTER**  
**FINANCIAL REPORT**  
**FISCAL YEAR 2021-22**

PAYMENTS THROUGH OCTOBER 18, 2021 FOR SERVICES PROVIDED THROUGH SEPTEMBER 30, 2021

**PURCHASE OF SERVICES (POS)**

<b>REGIONAL CENTER</b>	MTD	YTD	Remaining	Total	FY 20/21
Out of Home	\$8,390,798	\$25,296,744	\$100,269,923	\$125,566,667	\$109,459,468
Day Programs	\$5,909,242	\$15,678,380	\$70,526,890	\$86,205,270	\$75,515,219
Transportation	\$883,142	\$2,625,943	\$8,628,109	\$11,254,053	\$11,835,678
Respite	\$1,845,382	\$3,917,684	\$23,430,044	\$27,347,728	\$23,634,005
Other Services	\$4,659,101	\$12,800,355	\$54,062,671	\$66,863,026	\$61,392,572
<b>Total</b>	<b>\$21,687,665</b>	<b>\$60,319,106</b>	<b>\$256,917,638</b>	<b>\$317,236,744</b>	<b>\$281,836,941</b>
<b>SPA/ICF Receipts</b>				<b>(\$5,000,000)</b>	<b>(\$5,535,794)</b>
<b>Allocation ( C-1 )</b>				<b>\$318,032,645</b>	<b>\$283,327,207</b>
<b>Surplus/(Deficit)</b>				<b>\$5,795,901</b>	<b>\$7,026,059</b>
<b>RESTRICTED POS FUNDS</b>					
CPP	\$0	\$0	\$100,000	\$100,000	\$1,377,185
CRDP	\$0	\$0	\$0	\$0	\$600,000
HCBS	\$0	\$0	\$0	\$0	\$531,250
<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$100,000</b>	<b>\$100,000</b>	<b>\$2,508,435</b>
<b>Allocation ( C-1 )</b>				<b>\$100,000</b>	<b>\$2,432,599</b>
<b>Surplus/(Deficit)</b>				<b>\$0</b>	<b>(\$75,836)</b>



**SAN GABRIEL/POMONA REGIONAL CENTER**

STATEMENTS OF FINANCIAL POSITION

September 30

**2021**

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**ASSETS**

Cash and Cash Equivalents	\$ 37,920,057.65
Receivable - State Regional Center Contracts	114,408,464.79
Receivable - Intermediate Care Facility Providers	2,405,726.24
Other Receivables	45,509.18
Prepaid Expenses	18,911.41
Deposits	12,459.00
<b>TOTAL ASSETS</b>	<b>\$ 154,811,128.27</b>

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**LIABILITIES AND NET ASSETS**

**Liabilities**

Accounts Payable	\$ 21,375,901.59
Advance - State Regional Center Contracts	132,372,171.01
Accrued Salaries and Payroll Taxes	895,492.87
Other Payables	67,562.80
Reserve for Unemployment Insurance	100,000.00

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<b>Total Liabilities</b>	<b>\$ 154,811,128.27</b>
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**Net Assets**

Without Donor Restriction	-
With Donor Restriction	-

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**Total Net Assets**

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<b>TOTAL LIABILITIES AND NET ASSETS</b>	<b>\$ 154,811,128.27</b>
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SAN GABRIEL/POMONA REGIONAL CENTER  
**OPERATIONS FUND FINANCIAL REPORT**

FISCAL YEAR 2021-22

PAYMENTS THROUGH OCTOBER 18, 2021 FOR SERVICES PROVIDED THROUGH SEPTEMBER 30, 2021

25.0% OF YEAR ELAPSED

**DRAFT  
 COPY**

CONTRACT ALLOCATIONS	Regular	CPP/CRDP DC Ongoing	Family Resource Center	Foster Grandparent Senior Companion	Total				
							D plus F G	A minus G H	I
Preliminary Allocation	27,967,990					27,967,990			
C-1 Amendment	6,756,979		154,564	1,218,040		8,129,583			
<b>Total Operations Contract Allocation</b>	<b>34,724,969</b>	<b>-</b>	<b>154,564</b>	<b>1,218,040</b>	<b>-</b>	<b>36,097,573</b>			
	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>	<b>G</b>	<b>H</b>	<b>I</b>
	Current Allocation	% of Allocation	Current Month Expenditures	Year-to-Date Expenditures	YTD Actual as % of Allocation	Projected Remaining Expenditures	Total Projected Expenditures	Projected Balance Remaining Amount	Percent
<b>Total Operations - Actual and Projected Expenditures</b>	<b>36,097,573</b>	<b>100.00%</b>	<b>2,330,536</b>	<b>8,176,268</b>	<b>22.7%</b>	<b>28,027,472</b>	<b>36,203,740</b>	<b>(106,167)</b>	<b>-0.29%</b>
<b>PERSONAL SERVICES (REGULAR OPERATIONS)</b>									
Salaries	23,888,889	68.79%	1,617,963	5,195,348	15.0%	17,578,878	22,774,226	1,114,663	3.21%
Temporary Staff	0	0.00%	0	0	0.0%	0	0	0	0.00%
Retirement ( includes 403B)	3,046,111	8.77%	160,284	645,698	1.9%	2,164,991	2,810,689	235,422	0.68%
Social Security (OASDI)	286,389	0.82%	15,944	52,386	0.2%	184,911	237,297	49,092	0.14%
Health Benefits/Long Term Care	2,076,909	5.98%	152,672	619,343	1.8%	1,413,687	2,033,030	43,879	0.13%
Worker's Comp Insurance	238,889	0.69%	12,201	48,839	0.1%	168,320	217,159	21,730	0.06%
Unemployment Insurance	50,000	0.14%	0	0	0.0%	50,000	50,000	0	0.00%
Non-Industrial Disability/Life Insurance	126,789	0.37%	7,093	29,066	0.1%	58,132	87,198	39,591	0.11%
Clinical Consultants - Consumer Services	0	0.00%	0	0	0.0%	0	0	0	0.00%
<b>Total Personal Services (Regular Operations)</b>	<b>29,713,976</b>	<b>85.57%</b>	<b>1,966,156</b>	<b>6,590,680</b>	<b>19.0%</b>	<b>21,618,919</b>	<b>28,209,599</b>	<b>1,504,377</b>	<b>4.33%</b>
<b>OPERATING EXPENSES (REGULAR OPERATIONS)</b>									
Equipment Rental	58,000	0.17%	1,253	14,490	0.0%	38,286	52,777	5,223	0.02%
Equipment Maintenance	35,000	0.10%	2,252	7,265	0.0%	25,794	33,059	1,941	0.01%
Facility Rent	2,628,000	7.57%	219,000	876,000	2.5%	1,752,000	2,628,000	0	0.00%
Facility Maintenance	37,000	0.11%	763	3,228	0.0%	31,685	34,914	2,086	0.01%
Communications (postage, phones)	413,000	1.19%	22,743	97,951	0.3%	312,508	410,459	2,541	0.01%
General Office Expense	349,000	1.01%	19,426	64,551	0.2%	270,652	335,203	13,797	0.04%
Printing	22,000	0.06%	664	2,430	0.0%	17,291	19,721	2,279	0.01%
Insurance	250,000	0.72%	0	124,811	0.4%	124,811	249,622	378	0.00%
Data Processing	128,435	0.37%	6,812	19,361	0.1%	102,082	121,442	6,993	0.02%
Data Processing Maintenance / Licenses	280,000	0.81%	7,156	131,797	0.4%	147,021	278,818	1,182	0.00%
Interest Expense	15,000	0.04%	0	0	0.0%	15,000	15,000	0	0.00%
Bank Service Fees	2,000	0.01%	30	65	0.0%	1,695	1,760	240	0.00%
Legal Fees	80,000	0.23%	8,202	10,388	0.0%	69,164	79,552	448	0.00%
Board of Directors Expense	5,000	0.01%	0	400	0.0%	1,200	1,600	3,400	0.01%
Accounting Fees	65,000	0.19%	0	0	0.0%	65,000	65,000	0	0.00%
Equipment Purchases	260,000	0.75%	364	2,158	0.0%	251,473	253,630	6,370	0.02%

SAN GABRIEL/POMONA REGIONAL CENTER  
**OPERATIONS FUND FINANCIAL REPORT**

FISCAL YEAR 2021-22

PAYMENTS THROUGH OCTOBER 18, 2021 FOR SERVICES PROVIDED THROUGH SEPTEMBER 30, 2021

25.0% OF YEAR ELAPSED

**DRAFT  
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						D plus F	A minus G		
	A	B	C	D	E	F	G	H	I
	Current Allocation	% of Allocation	Current Month Expenditures	Year-to-Date Expenditures	YTD Actual as % of Allocation	Projected Remaining Expenditures	Total Projected Expenditures	Projected Balance Amount	Remaining Percent
Contractor & Consultants - Adm Services	276,000	0.79%	6,288	59,695	0.2%	198,573	258,267	17,733	0.05%
Contract - ABX2 Disparities	0	0.00%	0	0	0.0%	0	0	0	0.00%
Travel/mileage reimbursement	55,000	0.16%	3,568	11,650	0.0%	38,366	50,017	4,983	0.01%
ARCA Dues	80,458	0.23%	0	0	0.0%	80,458	80,458	0	0.00%
General Expenses	47,100	0.14%	2,377	3,608	0.0%	43,367	46,975	125	0.00%
<b>Total Operating Expenses (Regular Operations)</b>	<b>5,085,993</b>	<b>14.65%</b>	<b>300,896</b>	<b>1,429,846</b>	<b>4.1%</b>	<b>3,586,425</b>	<b>5,016,271</b>	<b>69,722</b>	<b>0.20%</b>
<b>Total Personal Services &amp; Operating Expenses (Regular Operations)</b>	<b>34,799,969</b>	<b>100.22%</b>	<b>2,267,052</b>	<b>8,020,527</b>	<b>23.1%</b>	<b>25,205,344</b>	<b>33,225,871</b>	<b>1,574,098</b>	<b>4.53%</b>
<b>OTHER INCOME</b>									
Interest & Other Income	(75,000)	-0.22%	(7,758)	(20,250)	-0.1%	(60,749)	(80,999)	5,999	0.02%
<b>Total Personal Services &amp; Operating Expenses Net of Other Income (Regular Operations)</b>	<b>34,724,969</b>	<b>100.00%</b>	<b>2,259,294</b>	<b>8,000,277</b>	<b>23.0%</b>	<b>25,144,595</b>	<b>33,144,872</b>	<b>1,580,097</b>	<b>4.55%</b>
<b>RESTRICTED FUNDS</b>									
Family Resource Center Expenses	154,564	100.00%	12,436	12,436	8.0%	142,128	154,564	0	0.00%
Foster Grandparent/Senior Companion Expenses	1,218,040	100.00%	58,806	163,555	13.4%	1,054,485	1,218,040	0	0.00%
Community Placement Plan Expenses	0		0	0		1,686,264	1,686,264	(1,686,264)	
<b>Total Restricted Funds</b>	<b>1,372,604</b>		<b>71,242</b>	<b>175,991</b>	<b>99.2%</b>	<b>2,882,877</b>	<b>3,058,868</b>	<b>(1,686,264)</b>	
<b>Total Expenses (Including Restricted Funds)</b>	<b>36,097,573</b>		<b>2,330,536</b>	<b>8,176,268</b>	<b>22.7%</b>	<b>28,027,472</b>	<b>36,203,740</b>	<b>(106,167)</b>	<b>-0.29%</b>
<b>Total Allocation Balance (Deficit)</b>								<b>(106,167)</b>	

SAN GABRIEL/POMONA REGIONAL CENTER  
**PURCHASE OF SERVICES FUND FINANCIAL REPORT**

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FISCAL YEAR 2021-22

PAYMENTS THROUGH OCTOBER 18, 2021 FOR SERVICES PROVIDED THROUGH SEPTEMBER 30, 2021

25.0% OF YEAR ELAPSED

CONTRACT ALLOCATIONS	Regular POS	CPP	HCBS	Total			
Preliminary Allocation	250,025,837			250,025,837			
C-1	68,006,808	100,000		68,106,808			
<b>Total Contract Allocation</b>	<b>318,032,645</b>	<b>100,000</b>	<b>0</b>	<b>318,132,645</b>			
				C plus E			
	A	B	C	D	E	F	G
		Current Month Expenditures	Year-to-Date Expenditures	YTD Actual as percent of Allocation	Projected Remaining Expenditures	Total Projected Expenditures	YTD & Projected as percent of Allocation
<b>Total POS Actual &amp; Projected Expenditures</b>		<b>21,291,018</b>	<b>59,256,527</b>	<b>18.6%</b>	<b>253,080,217</b>	<b>312,336,744</b>	<b>98.2%</b>
<b>OUT OF HOME CARE</b>							
Community Care Facilities		8,375,077	25,249,022	7.9%	99,560,143	124,809,165	39.2%
ICF/SNF Facilities		15,721	47,722	0.0%	709,781	757,502	0.2%
<b>Total Out of Home Care</b>		<b>8,390,798</b>	<b>25,296,744</b>	<b>8.0%</b>	<b>100,269,923</b>	<b>125,566,667</b>	<b>39.5%</b>
<b>DAY PROGRAMS</b>							
Day Care		1,198,248	2,395,932	0.8%	24,906,565	27,302,497	8.6%
Day Training		4,227,970	12,029,550	3.8%	39,649,641	51,679,190	16.2%
Supported Employment		403,478	1,031,270	0.3%	4,844,878	5,876,148	1.8%
Work Activity Program		79,546	221,629	0.1%	1,125,807	1,347,435	0.4%
<b>Total Day Programs</b>		<b>5,909,242</b>	<b>15,678,380</b>	<b>4.9%</b>	<b>70,526,890</b>	<b>86,205,270</b>	<b>27.1%</b>
<b>OTHER SERVICES</b>							
Non-Medical: Professional		639,515	1,651,029	0.5%	9,614,531	11,265,559	3.5%
Non-Medical: Programs		1,219,885	3,420,038	1.1%	12,437,501	15,857,538	5.0%
Home Care: Programs		200,855	527,416	0.2%	2,195,875	2,723,291	0.9%
Transportation		423,811	1,224,338	0.4%	4,453,497	5,677,835	1.8%
Transportation Contracts		459,331	1,401,605	0.4%	4,174,612	5,576,217	1.8%
Prevention		1,089,257	2,777,382	0.9%	10,032,909	12,810,291	4.0%
Other Authorized Services		1,159,255	3,386,580	1.1%	13,489,676	16,876,256	5.3%
Personal and Incidentals		11,705	35,632	0.0%	114,835	150,467	0.0%
Hospital Care		70,571	221,694	0.1%	2,003,263	2,224,957	0.7%

SAN GABRIEL/POMONA REGIONAL CENTER  
**PURCHASE OF SERVICES FUND FINANCIAL REPORT**

FISCAL YEAR 2021-22

PAYMENTS THROUGH OCTOBER 18, 2021 FOR SERVICES PROVIDED THROUGH SEPTEMBER 30, 2021

25.0% OF YEAR ELAPSED

**DRAFT  
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	C plus E					G	
	A	B	C	D	E		F
		Current Month Expenditures	Year-to-Date Expenditures	YTD Actual as percent of Allocation	Projected Remaining Expenditures	Total Projected Expenditures	YTD & Projected as percent of Allocation
Medical Equipment		841	2,117	0.0%	46,466	48,583	0.0%
Medical Service: Professional		142,349	381,081	0.1%	2,817,953	3,199,034	1.0%
Medical Service: Programs		124,869	397,385	0.1%	1,309,663	1,707,048	0.5%
Respite: In Own Home		1,844,916	3,902,562	1.2%	23,407,524	27,310,086	8.6%
Respite: Out of Home		467	15,122	0.0%	22,520	37,642	0.0%
Camps		0	0	0.0%	0	0	0.0%
<b>Total Other Services</b>		<b>7,387,625</b>	<b>19,343,982</b>	<b>6.1%</b>	<b>86,120,825</b>	<b>105,464,807</b>	<b>33.2%</b>
<b>Total Estimated Cost of Current Services</b>		<b>21,687,665</b>	<b>60,319,106</b>	<b>19.0%</b>	<b>256,917,638</b>	<b>317,236,744</b>	<b>99.7%</b>
<u>OTHER ITEMS</u>							
HCBS	0	0	0		0	0	
<b>Total Other Items</b>		<b>0</b>	<b>0</b>	<b>0.0%</b>	<b>0</b>	<b>0</b>	<b>0.0%</b>
<b>Total Purchase of Services</b>		<b>21,687,665</b>	<b>60,319,106</b>	<b>19.0%</b>	<b>256,917,638</b>	<b>317,236,744</b>	<b>99.7%</b>
Deduct: Estimated Receipts from Intermediate Care Facilities for State Plan Amendment Services		(396,647)	(1,062,578)	-0.3%	(3,937,422)	(5,000,000)	-1.6%
<b>Expenditures Regular POS (Net of CPP)</b>	<b>318,032,645</b>	<b>21,291,018</b>	<b>59,256,527</b>	<b>18.6%</b>	<b>252,980,217</b>	<b>312,236,744</b>	<b>98.2%</b>
<b>Projected Allocation Balance (Deficit) Regular POS</b>						<b>5,795,901</b>	<b>1.8%</b>
<u>COMMUNITY PLACEMENT PLAN</u>							
Community Placement Plan (inc. CRDP)	100,000	0	0	0.0%	100,000	100,000	100.0%
<b>Allocation Balance (Deficit) CPP and CRDP</b>						<b>0</b>	<b>0.0%</b>
<b>Total Projected Allocation Balance (Deficit) Regular &amp; Community Placement Plan POS</b>						<b>5,795,901</b>	<b>1.8%</b>

**SAN GABRIEL/POMONA VALLEYS  
DEVELOPMENTAL SERVICES, INC.**

**COMMUNITY RELATIONS/  
LEGISLATIVE COMMITTEE**

**MINUTES FROM THE NOVEMBER 17, 2021 MEETING**

The following committee members were present at said meeting:

**PRESENT**

Joseph Huang  
Rachel McGrath  
Natalie Webber  
Georgina Molina  
Ardena Bartlett

**GUESTS**

Sofia Benitez

**STAFF:**

Anthony Hill, Executive Director  
Salvador Gonzalez, Director of Community  
Outreach and Compliance  
Xochitl Gonzales, Community Outreach  
Specialist  
Amos Byun, Community Outreach Specialist  
Willanette Satchell, Executive Assistant  
Erika Gomez, Executive Assistant - BOD

**ABSENT:**

Penelope Fode  
Julie Lopez

**RECOMMENDED BOARD ACTIONS**

**The Community Relations/Legislative Committee recommends the following:**

None

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**CALL TO ORDER**

Natalie Webber called the meeting to order at 6:03 pm.

The minutes from October 20, 2021, were reviewed and approved.

**M/S/C (Webber & Molina) The committee approved the minutes.**

**PUBLIC INPUT**

None

## **COMMUNITY OUTREACH MONTHLY REPORT\***

Xochitl Gonzalez and Amos Byun, Community Outreach Specialists, presented the monthly Outreach Report for October 2021. The Community Outreach Specialist have focused on the following projects:

- Equity Projects Funded by Service Access & Equity Grant
- Projects previously funded through Service Access & Equity Grant
- Other Equity-Related Activities not supported Service Access & Equity Grant
- Family Support/ Training
- Equity Partner Activities
- Outreach/Event Participation
- Activities of the Compliance and Outreach Department

(The report is attached to these minutes.)\*

Salvador Gonzalez, Director of Community Outreach and Compliance, briefly shared about the Trunk or Treat that had 8 vendors attend with about 100 individuals served participating.

## **LEGISLATIVE ISSUES & OTHER INFORMATION**

Anthony Hill, Executive Director, and staff reported the following:

- Public Policy –
  - Participant Choice Analyst – this position has been filled, they will be in attendance at the next meeting
- Deaf and Hard of Hearing – Lawmakers agreed to fund for a Deaf and Hand of Hearing Specialist. That position has also been filled and they will attend the next meeting.
- Capitol Christmas Tree Ornaments – Staff showed pictures of what individuals served created that was sent to Sacramento for the tree lighting event. Penelope Fode and Susan Stroebel helped with this project.
- Supervisor Hilda Solis – SG/PRC partnered with Hilda Solis to get 14 families a Thanksgiving turkey. Some families were supported with gif cards.

- Equity Grant Applications – SG/PRC supported all of them except one and wrote letters of support. Applications are due on November 30, 2021.
- Lanterman Coalition Campaign – This group of advocates sent thank you cards to lawmakers and there is hope that they will be able to continue to shake policy.
- Regional Center Performance Measures – Mr. Hill and Ardena Bartlett are part of this DDS taskforce. The areas of focus are performance measures and benchmarks of equity, integration into community, person center planning, families’ experience and satisfaction are some but not limited to.
- Legislation Binder – Each member of this committee will receive an information binder with the upcoming bills and proposals that could impact the individuals served by the regional centers. This committee will start digging deeper and become familiar with legislation. The binders will be ready for when the legislative season starts. Mr. Hill showed them the sample binder.
- COVID 19 Update -
  - Case management staff returned to the office twice a week as of October 25, 2021 but will be allowed to only return once a week after Thanksgiving, as a precautionary measure.
  - SG/PRC continues to be a testing site.
  - Staff are working to secure rapid tests kits.
- Jose De Leon, Facilities Manager, gave an update of the building renovations.

**ADJOURNMENT:**

The next meeting is on January 19, 2022.

# Community Outreach Team Report

<b>Community Outreach Team Report</b>	Director of Community Outreach and Compliance: Salvador Gonzalez
	Community Outreach Specialists: Xochitl Gonzalez & Amos Byun. Administrative Assistant, Marilyn Carmona
<b>Month</b>	Month of October 2021
<b>Report to</b>	Community Relations Committee of the SG/PRC Board of Directors
<b>Equity Projects Funded by Service Access &amp; Equity Grant</b>	<ul style="list-style-type: none"> <li>• <b><u>Parent Mentor Initiative (PMI)</u></b> – Nine (9) referrals were done, five (5) were matched and Five (5) are awaiting to be matched and five (5) parents graduated. Since the inception of PMI 445 parents and 238 parents have been served. Success story reported “A Parent in past has requested swimming classes which was denied. She appealed and her appeal was denied as well. With the support of the parent mentor, this parent was able to better articulate her request and explain the client’s needs for her request to obtain services. Swimming classes were approved, and mother is waiting for services to start.” Goals achieved: 1) Parent mentees are being proactive in connecting with SGPRC supervisors and seeking support from Parent Mentors’ when struggling to communicate with their SCs. 2)Parent Mentees reported an increase in the number of services they ae receiving including respite and human sexuality workshops.</li> <li>• <b><u>Vietnamese Outreach Specialists (VOS)</u></b>– New Vietnamese Outreach Specialist, Tam Nguyen, translated a flyer and provided verbal translation on 10/23/21 and 10/30/21 for Special Self Determination Program Orientation. Tam also translated RCAP text message and recorded Vietnamese voice recording for the orientation.</li> <li>• <b><u>Webinar development and hosting for parent training</u></b> –Spanish version recording of Navigating Regional Center System (NRCS) modules will start in November.</li> </ul>
<b>Projects previously funded through Service Access &amp; Equity Grant</b>	<ul style="list-style-type: none"> <li>• <b><u>Understanding My Child’s Disability (UMCD) Online Series (Previously known as Introductory Curriculum for Families)</u></b>– Completion of Korean version was accomplished this month. All UMCD modules are available in English, Spanish, Mandarin Chinese, Cantonese Chinese, Korean, and Vietnamese are available through SG/PRC Parent Portal (<a href="https://sgprcparent.arcaclearn.org">https://sgprcparent.arcaclearn.org</a>).</li> </ul>
<b>Other Equity-Related Activities not supported Service Access &amp; Equity Grant.</b>	<ul style="list-style-type: none"> <li>• <b><u>Person-Centered Conversations (PCC)</u></b> – During the pandemic, most PCC meetings are held remotely through Zoom meeting or telephone call. Two (2) PCC meeting with two (2) Korean fathers via telephone on 10/07/21 and five (5) PCC with five (5) Korean parents at the community settings in October 2021.</li> <li>• <b><u>Grant Writing Training:</u></b> DD sponsored training on 10/01/21. Equity Team participated in this valuable training to support DDS Service Access &amp; Equity Grant Application</li> <li>• <b><u>DDS Service Access &amp; Equity Grant Application</u></b>– SG/PRC hosted a several meetings to listen to proposals of potential equity partners. Over 10 letters of support were written in support of CBO equity projects. Meetings with various CBO were held on</li> </ul>



	<p>10/12/21, 10/18/21, 10/27/21, two meetings on 10/30/21. On behalf of SG/PRC equity team members submitted 5 applications to DDS:</p> <ol style="list-style-type: none"> <li>1. Asian Community Outreach Specialist</li> <li>2. Developing and Hosting Online Parent Training Modules</li> <li>3. Virtual Training Workshop AKA “Navigating the Regional Center System”</li> <li>4. Parent Mentor Initiative</li> <li>5. PCT Trainer Certifications</li> </ol> <p><b>Cultural Specialist Meetings organized by DDS –</b> Meeting was held on 10/15/2021 and Equity team was in attendance. Presentation featured the work of:</p> <ul style="list-style-type: none"> <li>• <b>Hmong Young &amp; Parents United (FY 19-20)</b>  “Disparity Prevention for Hmong Families”; Shee Vang, Program Coordinator  Cheenou Xiong, Program Manager; Metta Xiong, Program Director for Health and Social Services  <a href="http://hypu.org">Hmong Youth and Parents United - Home (hypu.org)</a>  <a href="#">Story Cloth Circle Awareness Video 1</a>  <a href="#">Story Cloth Circle Awareness Video 2</a>  <a href="#">"Dear My Child" Letters</a>  <a href="#">Short Film Trailer</a>  <a href="#">Short Film Movie</a></li> <li>• <b>Alpha Resource Center</b>  “Proyecto Acceso” Mariana Murillo, Resource Coordinator  Norma Puga, Resource Coordinator; Gina Stable, Manager  <a href="http://alphasb.org">Alpha Resource Center of Santa Barbara   Home (alphasb.org)</a></li> </ul>
<p><b>Family Support/ Training</b></p>	<ul style="list-style-type: none"> <li>• <b>The Vietnamese Support Group (VSG) meeting through Zoom –</b> The VSG meeting did was not held in October. Meetings will resume November 20, 2021.</li> <li>• <b>Translation for Korean families –</b> On 10/3/21, Amos translated Dr. Tomblin’s community presentation PowerPoint slides for presentation on 10/4/21. On 10/6/21, Amos translated Special SDP Orientation flyer and provided verbal translation for IEP consultation by SG/PRC Education specialist, Nora. On 10/13/21, Amos provided verbal translation for an Annual Meeting for Family Service Unit. On 10/18/21, Amos translated Critical Issues Forum flyer and sent to webmaster. Amos provided verbal translation for Special SDP Orientation on 10/23/21 and 10/30/21.</li> <li>• <b>Critical Issues Forum–</b> Next training will be held on 11/10/21 and topic will Special Needs Trust to protect our individuals’ benefits. Simultaneous Spanish interpretation will be provided. Housing has been a requested topic and will soon be scheduled.</li> <li>• <b>American Filipino Parent Support Group Meeting–</b> October meeting was held on 10/16/21 through Zoom remotely and there were total of 29 parents and 2 professionals participating the meeting. The topic was ‘Special Needs Trust’ presented by Grady Jennings, Executive Director of Dignity Group.</li> <li>• <b>Informate! (Spanish Speaking Educational Forum)–</b> Mental Health Training Series ended October 20<sup>th</sup>. This series was well attended, and families requested a repeat of the series in evening hours. Meeting are being scheduled.</li> <li>• <b>Facilitating ADEPT (Autism Distance Education Parent Training) in Applied Behavior Analysis (ABA) Korean Study Groups–</b> Five (5) ADEPT ABA Korean</li> </ul>

	<p>facilitation for Being Built Together (BBT) group 1 on 10/7, 10/14, 10/16, 10/18, and 10/25/21. Total of 34 participants participated at the facilitation through BBT Zoom meetings.</p>
<p><b>Equity Partner Activities</b></p>	<ul style="list-style-type: none"> <li>• <b>Parenting Black Children (PBC) a project of Access Non-Profit</b>– On Saturday 10/30/21 PBC participated in outreach event at Jackie Robinson Park in Pasadena. PBC distributed valuable information about PBC services and support as well as SG/PRC literature to inform families about Early Intervention Services and Regional Center services over age 3. PBC continues disseminating important information to families through their social media (Instagram).</li> <li>• <b>Creating Leadership Among Parents (CLAP) a project of Familias</b>- Spanish session began 10/6/21 and ended 10/28/21. This training was well attended by Spanish Speaking families. Combined in the English and Spanish speaking families 84 different families received training. Next series will be identified in January.</li> </ul>
<p><b>SG/PRC Outreach/ Event Participation</b></p>	<ul style="list-style-type: none"> <li>• <b>Glendora Rotary Club</b>- on 10/5/21 presentation was made to this group about SG/PRC Services. Information was well received with many questions after the presentation.</li> <li>• <b>Pomona Basic Needs Committee</b>- These meeting is a subcommittee from Mayor of Pomona Covid-19 Committee. Xochitl was able to attend this meeting on 10/20/21.</li> <li>• <b>Health Access Committee</b>- Monthly Meeting was held on 10/28/21 and continues to be attended by Xochitl Gonzalez.</li> <li>• <b>Siren of Silence/La County Fire Program</b>– Meeting with planning team was held on 10/21/21. Team felt SG/PRC parking lot was large enough and logistic planning will continue.</li> <li>• <b>Annual “Love Shouldn’t Hurt Walk to end Domestic Violence</b>- On 10/24/21 SGPRC was invited to outreach event for 10/27/21. Board Committee Member Tracy Evanson attended this event and was a speaker. At resource table, Mrs. Evanson handed out SG/PRC information. At this event, PMI program supervisor from Alma Family Services was present and received an invitation for SG/PRC to speak about services and PMI equity project. Speaking engagement is being scheduled.</li> <li>• <b>Community Advisory Committee, Pasadena</b>- on 10/18/21 completed SG/PRC presentation was completed and was followed by questions from participants.</li> <li>• <b>Community Advisory Committee, Pomona</b>- on 10/28/21</li> </ul>
<p><b>Activities of the Compliance and Outreach Department</b></p>	<ul style="list-style-type: none"> <li>• <b>Weekly Community Meetings</b>–Compliance and Outreach team continue to support this meeting by providing the community report about outreach, family training opportunities and resources of interest to families.</li> <li>• <b>Self-Determination Program Orientation Translation Support</b>- on 10/28/21 Spanish translation was provided to support Spanish speaking families access in understanding the benefits of SDP. Korean verbal translation for Special SDP Orientation on 10/23/21 and 10/30/21.</li> </ul>



# SAN GABRIEL/POMONA REGIONAL CENTER

## Advisory Committee for Individuals Served and Their Families

Wednesday, December 8, 2021 at 6:00 p.m.

Videoconference Meeting

ZOOM Meeting ID: 191 486 135

Password: 681356

### Committee Members:

Shannon Hines, Co-Chairperson  
Sheila James, Co-Chairperson  
Mary Soldato  
Preeti Subramaniam  
Herminio Escalante  
Michelle Nelson  
Sherry Meng

Rebecca Wilkins  
Ning Yang  
Louis Jones  
Daniel Clancy  
Victor Guzman  
John Randall (LOA)  
David Grisey (LOA)

### Staff:

Anthony Hill  
Lucina Galarza  
Daniela Santana  
Joe Alvarez  
Erika Gomez  
Willanette Satchell

### AGENDA

- **Call to Order – Chairperson, Shannon Hines and Sheila James (6:00 – 6:05)**  
**- Approve Minutes of October 27, 2021 Meeting**
- Public Comment (6:05 – 6:10)
- Action Item: None

**(6:15 – 6:45) – Special Presentation – Fair Hearing Process by Daniel Ibarra, Fair Hearing Specialist**

#### **(6:45 – 7:00) Committee Information**

Shannon Hines and Sheila James, Chairs, Lucina Galarza, Director of Community Services and Daniela Santana, Director of Client Services

#### **Future Training Topics**

January 26, 2022 – Forensic Specialist

February 23, 2022 – TBD

March 23, 2022 - TBD

April 27, 2022 - TBD

- **Self Determination Advisory Committee Meetings & Updates**
- **Coronavirus Update**
- **Agenda for January 26, 2022**

**Happy Holidays!**

**SAN GABRIEL/POMONA REGIONAL CENTER  
DEVELOPMENTAL SERVICES, INC.**

**Minutes of the Meeting of the**

**Advisory Committee for Individuals Served and Their Families**

**October 27, 2021**

A regular meeting of the Client Services/Advisory Committee was held on Wednesday, September 22, 2021. The following committee members were present at said meeting:

**PRESENT**

Shannon Hines  
Sheila James  
Pretti Subramaniam  
Herminio Escalante  
Jenny Needham  
Julie Lopez  
Michelle Nelson  
Sherry Meng  
Rebecca Wilkins

**STAFF:**

Anthony Hill  
Lucina Galarza  
Daniela Santana  
Salvador Gonzalez  
Lupe Magallanes  
Rosa Chavez  
Willanette Satchell  
Erika Gomez

**GUESTS:**

**ABSENT:**

Mary Soldato  
Victor Guzman  
Daniel Clancy  
Ning Yang  
Louis Jones  
David Grisey (LOA)  
John Randall (LOA)

**ITEMS DISCUSSED**

**CALL TO ORDER**

Shannon Hines called the meeting to order at 6:03 pm  
A quorum was established.

The committee reviewed the minutes from the August 25, 2021.

**M/S/C (James & Subramaniam) The committee approved the minutes.**

The committee reviewed the minutes from the September 22, 2021.

**M/S/C (Subramaniam & James) The committee approved the minutes.**

**Abstain: Nelson, Meng, Wilkins**

## **PUBLIC COMMENT** – None

## **SPECIAL PRESENTATION – PROVISIONAL ELIGIBILITY CATEGORY**

Guadalupe Magallanes-Angel, Associate Director of Early Intervention & Intake and Gabby Castillo, Manager of Intake, shared on the following information:

- The new eligibility criteria under the Lanterman Act has been expanded
- Under Provisional Eligibility, the child must meet 2 of the 5 diagnoses
- 23 children have already been found to be eligible

## **FUTURE TRAINING TOPICS:**

- Category
- December 8, 2021 - Fair Hearing Process
- January 26, 2022 – Forensic Specialist

## **SELF DETERMINATION ADVISORY COMMITTEE MEETINGS AND UPDATES:**

- DDS has allocated for two positions for all regional centers. Interviews are next week.
- 41 families have been transitioned, with 5 more expected in November. 3-4 families transition every month.
- Part 2 of the Self Determination Orientation will be this Saturday.
- The Advisory Committee continues to meet on the second Tuesday of every month.

## **COVID 19 UPDATE:**

- SG/PRC is adhering to the LA County Health Policy order that all healthcare workers be vaccinated or obtain legal exemption. Staff have a QR code that they are required to disclose. SG/PRC is 100% in compliance and all staff are required to test weekly.
- The EOC issued new guidance on “Religious Exemptions.” Staff not vaccinated must test twice a week.
- SG/PRC continues to serve as a testing site. Staff have ordered enough supplies to last for a few months as there’s a fear that testing kits will dwindle.
- During the Intake Eligibility evaluation, two members of the same

household are now allowed in the same room.

- Case management staff are required to be in the office 2 times a week.
- Staff are working to create staff registries due to the shortage of DSP.
- Staff reviewed the weekly COVID report

### **ADJOURN**

Chairperson Shannon Hines adjourned the meeting.

The next Advisory Committee for Individuals Served and Their Families meeting is scheduled for Wednesday, December 8, 2021 via videoconference.

**SAN GABRIEL/POMONA VALLEYS  
DEVELOPMENTAL SERVICES, INC.  
VENDOR ADVISORY COMMITTEE MINUTES**

**November 4, 2021**

The following committee members were in attendance at said meeting:

**PRESENT:**

Susan Stroebel, Chairperson  
Valerie Donelson  
Grace Kano  
Charmayne Ross  
Nancy Bunker  
Baldo Paseta  
Nicole Mirikitani  
Olaf Luevano  
Brenda Baldeon  
Jeanette Cabrera

**STAFF:**

Anthony Hill, Executive Director  
Rosa Chavez, Associate Director, Family & Transition  
Services  
Salvador Gonzalez, Director of Community Outreach and  
Compliance  
Lupe Magallanes, Associate Director, Early Start and  
Intake Services  
Jaime Anabalón, Manager of Quality Assurance  
Willanette Satchell, Executive Assistant – Management  
Erika Gomez, Executive Assistant – Board of Directors

**MEMBERS ABSENT:**

Jose Mendoza  
Vanessa Besack

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**RECOMMENDED ACTIONS**

**THE VENDOR ADVISORY COMMITTEE RECOMMENDATION:**

None

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**A. CALL TO ORDER**

Susan Stroebel called the meeting to order at 10:01 a.m. A quorum was established after roll call.

The agenda was reviewed.

The minutes from the October 7, 2021 meeting were approved.

**M/S/C (Ross & Donelson) The committee approved the minutes.**

## **B. VAC VACANCIES**

There are vacancies in the following categories:

- Residential (Specialized)
- CCF
- Adult Day Program

Anyone interested can email [egomez@sgprc.org](mailto:egomez@sgprc.org) for an application.

## **C. IMPACT OF COVID 19 AREAS OF DISCUSSION**

The following concerns were discussed:

- Staffing issues continue and seem to be getting worse. Susan suggested that SG/PRC conduct a survey of the job opening that vendors have, in hopes that it helps Mr. Hill with the Vendor Workgroup. Susan also put together a list of local health aids to assist vendors with their hiring needs.

## **D. SG/PRC STAFF UPDATES**

*Vendor Workgroup* – Mr. Hill shared that the workgroup met and discussed strategies and possibilities to deal with the hiring issues vendors are facing. He will share information when it is possible. He agreed with Susan's suggestion and will ask staff to put together the survey of position vacancies.

*CCL's VAC Meeting Participation* – Lucina Galarza coordinated a meeting with CCL to explain the PINs that providers are having a difficult time understanding. The meeting is on November 16, 2021 at 2pm, Residential and Day Program providers are encouraged to attend.

## **E. VENDOR CATEGORY REPORTS**

### **Adult Programs**

*Vocational* – Olaf Luevano share that the LPA form CCS visited his program and checked that the mitigation plan is being followed. He advised his peers to ensure their mitigation plans are being enforced.

*Adult Day* – Susan Stroebel reported on behalf of Jose Mendoza. She shared that the Trunk or Treat was a success. There was a DJ and dance area. Participants also made Christmas ornaments for the Capitol Tree Lighting event.

### **Infant & Children Services**

*Infant Development Program* – Charmayne Ross provided an update regarding the use of courtesy vendors and SG/PRC vendors. SG/PRC stopped requests for courtesy vendorizations to evaluate the referrals process, service modalities and service needs. In the review process, it was discovered that SG/PRC has a good number of vendors in all areas of service (OT, PT, ST, and Early Interventionists).



It was further found that there are barriers in coordinating services with SG/PRC therapists due to lack of therapists willing to provide face-to-face services or in the cities which they provide services. Speech therapy was the service area in most need. The few therapists are willing to provide face-to-face services, but they cannot meet SG/PRC's overall needs.

Last week a Service Coordinator reached out to 7 speech therapists that SG/PRC knows services the area where a parent requested face-to-face services and none of the 7 therapists could provide care. This shortage of availability led SG/PRC to use a courtesy vendor instead since the child had been waiting to start services since August.

### **Transportation**

Baldo Paseta shared that the CDC guidelines for transporters have not been updated since January 2021. The next subcommittee meeting is on November 8, 2021.

### **Independent Living Services**

*ILS Services* – Nicole Mirikitani had nothing new to report.

*SLS Services* – Nancy Bunker shared that her program continues to struggle, like most vendors, with hiring.

### **Residential Services**

*Specialized* – **One vacancy in this category**

*CCF* – Valerie Donelson (**one vacancy in this category**). Mrs. Donelson had nothing new to report.

*ICF*- Grace Kano shared that staff are having to work 12-18 hours shifts and providers are having to pay overtime because nursing staff from the registry do not always show up. She also took the opportunity to thank those that participated in the Richard D. Davis Foundation Golf Tournament.

**Other Vendored Services**- Jeanette Cabrera reported that she is gearing up to follow the academic schedule for winter and spring, taking into account the vaccination status of students.

**At Large**- In response to what Charmayne Ross reported, Brenda Baldeon shared

that when attempting in person services, she is finding that families are not disclosing their vaccine status and/or when members in the home are ill. Also, families question the use of a mask by the vendors. In addition, prior to the pandemic, upon arrival to a home, caregivers would leave the SLP to do 1:1 when the focus of Early Start is on family education. The family needs instruction on language strategies that in telehealth is well suited for because they have to be present with the child. She also said that the tele modality has been used for many years by SLPs and it is not related to the pandemic; she believes it should be an option for families that are good candidates. Lastly, she is glad teletherapy is part of the Trailer Bill. Her suggestion was to reach out to SLP vendors to determine what their reason(s) are for not providing more in person services. Staff stated this was something that was sent out in a survey, which she did complete but doesn't recall a question regarding the reason(s) for providing the tele modality. Staff said this was something that could be discussed at the next LICA meeting on November 11, 2021.

F. **LEGISLATIVE UPDATE**

Susan Stroebel referred to the materials for the legislative updates.

**PUBLIC COMMENTS**

Staff shared that planning for the upcoming Transition Fair will start next week and information will be forthcoming.

**MEETING ADJOURNED**

The next regular meeting will be held on December 2, 2021, at 10:00 a.m.

**SAN GABRIEL/POMONA VALLEYS  
DEVELOPMENTAL SERVICES, INC.  
STRATEGIC DEVELOPMENT COMMITTEE MINUTES**

**October 13, 2021**

The following committee members were present at said meeting.

**MEMBERS:**

Gisele Ragusa  
Bruce Cruickshank  
Bill Stewart  
Shannon Hines  
Julie Chetney

**STAFF:**

Anthony Hill, Executive Director  
Lucina Galarza, Director of Community Services  
Willanette Satchell, Executive Assistant  
Erika Gomez, Executive Assistant - BOD

**GUESTS:**

Tracy Evanson

**MEMBERS ABSENT:**

Anabel Franco - Vizcaino

**RECOMMENDED BOARD ACTIONS**

**THE STRATEGIC DEVELOPMENT COMMITTEE RECOMMENDS THAT  
THEY TAKE ACTION ON THE FOLLOWING:**

None.

**ITEMS DISCUSSED**

**A. CALL TO ORDER**

Gisele Ragusa, called the meeting to order at 6:04 p.m. A quorum was established.

The agenda was reviewed, and “Board Composition” was added.

The Minutes from the September 8, 2021, Strategic Development Committee meeting were approved.

**M/S/C (Cruickshank & Stewart) The committee approved the minutes.**

**Abstain: Chetney**

**B. PUBLIC INPUT**

None

**C. STRATEGIC TIMELINE**

The committee reviewed in detail the timeline with the focus of determining the process and next steps. The following was discussed:

- Goal #4 – rollover as it may be the grandest and most significant goal
- SWPT – the members agreed to use this to measure progress
- Every committee should form a focus group and meet for an hour. 45 minutes would be allocated for discussion and 15 for closing.
- The members agreed to look into having a facilitator that could help with the focus groups. They suggested an individual that once did training for the Board and they will follow up with Raquel Sandoval, Director of Human Resources, on contacting him.
- The Leadership should also form a focus group.

#### **D. BOARD COMPOSITION**

The Lanterman Act requires the Board to meet a certain criteria, including specific ethnicity representation. Every year DDS measures compliance. Last year DDS determined SG/PRC didn't meet the requirements in the Asian and Hispanic representation. SG/PRC did not meet the requirements again this year. In discussion with the members, they raised the following argument:

- The Board is smaller in size
- There is enough Hispanic and Asian representation for the size of the Board
- The goal should be to recruit African American representation
- There is diversity in the committees

#### **E. COVID-19 UPDATE**

Mr. Hill shared the following:

- SG/PRC is in full compliance with the vaccine mandates. Staff are required to return to the office twice a week starting on October 25, 2021. Staff are also required to test weekly. SG/PRC's testing site is now open to children as well. Staff are stocking up on testing kits to avoid running out.
- There is going to be a vaccine clinic on November 14, 2021.
- There is a big shortage of staffing crisis. 30% of employees globally are looking at other options as private sectors are raising starting salaries. SG/PRC put together a strategy to build a registry for covid 19 but also registries for shortage of staffing in residential, family home agencies, supported living and ILS. Staff have approached the big day programs as potential sources to supply the registries, there are 4 potential partners.
- A Health and Safety Waiver provision was given to Executive Directors. It allows modifications to existing rates for Residential providers (under the 109-program support). SG/PRC only has had 2.
- 65% of the individuals served are vaccinated, the highest number in state.

**F. ADJOURNED**

The meeting adjourned.

The next Strategic Development Committee meeting is scheduled for November 10, 2021.

# SAN GABRIEL/POMONA REGIONAL CENTER

December 1 , 2021

TO: Penelope Fode, Board President & Board of Directors  
San Gabriel / Pomona Valleys Developmental Services, Inc.

FROM: Anthony Hill, M.A. J.D. Esq.  
Executive Director

RE: Executive Director's Report

## **Happy Holidays**

Dear Self Advocates, parents, families, Service Providers, Community Partners, and San Gabriel/Pomona Regional Center (SG/PRC) Board of Directors, on behalf of the SG/PRC staff we wish you a joyful, happy, and wonderful Holiday Season. The currency of love, compassion, and thoughtfulness are the very best gifts. Things that money cannot buy. These gifts are in abundant supply because we create them from our goodwill. Treasures each of us inherit and remain within each of us to share with others. We encourage everyone to reach out to as many family members and friends as possible sharing gifts of love, compassion, kindness, appreciation, and gratitude.

## **SG/PRC's Critical Business Functions**

Given recent public health policy news related to the Omicron COVID-19 variant tethered to our "Abundance of Caution" strategy, effective December 6, 2021, our remote workforce will adjust including Service Coordinators and various classifications that have job duties that require work in other settings; residential facilities, day programs, supported living arrangements, family homes, schools, shelters, jails and other settings to work primarily remote (1 day weekly in the office) with the requirement to continue participating in weekly COVID-19 testing occurring at the SG/PRC business office. All other job classifications are required to work in the office at least 2 days weekly and most falling within 5 days weekly to ensure that critical functions remain at optimal capacity. Service Coordinators increased remote working flexibility does not change their requirement to visit individuals served and their families in-person according to Department of Developmental Services (DDS) Directive.

The SG/PRC office has remained open throughout the COVID-19 pandemic. Visitors and staff are required to wear a face mask and pass a contactless temperature screening device. We have held firm with this practice even though guidance from local public health authorities has varied. Our Porter cleans and sanitizes our business office daily, supported by other internal health and safety protocols. We are very proud of our distinction of being the **only regional center throughout the pandemic** that has continued to provide in-person intake/eligibility assessments and early intervention clinics. Every business day we see children and their parents or guardians spending time with us, receiving essential developmental assessments, and eligibility review services. These outcomes are rewarding and vibrantly illustrates the SG/PRC mission.

### Intake/Eligibility Data Ending October 31, 2021

#### Early Intervention/Intake

899 New referrals  
850 Eligibility reviews  
27 Reactivations  
799 Children found eligible  
4 Transfer In

#### Lanterman/Intake

176 New Referrals  
209 Eligibility Reviews  
73 Reactivations  
142 Found Eligible  
237 Exiting EI (Eligibility Reviews)  
129 Eligible (/EI transitions)  
31 Provisional Eligible

(See Attachment A)

### SG/PRC COVID-19 Data

Los Angeles County COVID-19 positivity rate 7-day average reported on November 28, 2021, is 1.03% (See Attachment B). On November 26, 2021, the World Health Organization (WHO) designated the variant B. 1.1.529 a variant of concern, named Omicron. The WHO decision was based on evidence presented to the Technical Advisory Group on Virus Evolution that Omicron has several mutations that impact on how it behaves, on how easily it spreads and severity of illness ( Source World Health Organization).

### COVID-19 Vaccine Data

As required by the Department of Developmental Services (DDS) Directive, SG/PRC is collecting COVID-19 vaccine data for individuals served. We are collecting the vaccine brand, whether the individual served is fully vaccinated, and whether the individual served demonstrated their choice to decline COVID-19 vaccines. SG/PRC's vaccine data collection efforts remain at **the top of the list** of regional centers according to DDS Data at [www.dds.ca.gov](http://www.dds.ca.gov) under [COVID-19 data](#).

### **SG/PRC's COVID-19 Testing Clinic**

Our SG/PRC testing Clinic is open Monday, Tuesday, Wednesday, and Thursdays for individuals served and their families, service provider staff and their families, and SG/PRC staff and their families. The clinic is held at the SG/PRC office from 9 a.m. to 11:30 a.m. Effective November 29, 2021, we have begun offering BynaxNow Covid-19 Antigen test, a rapid testing option as an additional layer of protection against the spread of COVID-19 and its known variants including Omicron. The rate accuracy for BynaxNow Covid-19 Antigen test for known positive cases is 84.6% and an average of 98.5% for known negative samples. This rapid test is available for individuals at least 2 years in age or greater (See Attachment C). All SG/PRC employees are required to be tested at least (1) time weekly or more often under specific circumstances.

### **SG/PRC Self-Determination Program Meet & Greet**

On December 11, 2021, SG/PRC will host a meet and greet via Zoom with Financial Management Services Providers (FMS) from 10 a.m. – 11:30 a.m. A parent advocate, during our weekly Community Meeting, made this recommendation for all of us, and we prudently implemented her recommendation as an opportunity to further support self advocates and their parents as we partner our shared learning experiences ( See Attachment D).

### **Supervisor Hilda Solis/Operation Gobble Gobble Turkey Giveaway Event**

On November 10, 2021, Supervisor Hilda Solis held her annual Operation Gobble Gobble Turkey Giveaway Event and allocated 14 turkeys and food boxes to SG/PRC for individuals served and their families. The SG/PRC Community Outreach team ensured that allocated turkeys and food boxes were delivered to needy families ( See Attachment E).

### **SG/PRC's Expenditures FY 21/22**

According to the C-1 Amendment SG/PRC's Operations allocation is \$34,724,969 with year-to-date expenditures \$8,000,277 with projected remaining expenditures of \$25,144,595. The Purchase of Service Allocation is \$318,032,645 with year-to-date expenditures of \$60,319,106 and a projected surplus of \$5,795,901 yearend.

### **Department of Developmental Services (DDS)**

#### ***July 2021 Trailer Bill Language Affecting Regional Centers***

On October 19, 2021, DDS issued guidance on Trailer Bills that impact regional centers.



Key policy items are provisional regional center eligibility for children with adaptive skill deficits in two (2) of five (5) areas of major life activity, expansion of Self-Determination Program for all individuals served, restoration of social recreation and camp services, continuation of remote services, remote Individual Family Service Plan and Individual Program Plan meetings, termination of Uniformed Holiday Schedule, creation of the SDP Ombudsperson Office, regional center contract amendment increasing the frequency of caseload ratio reporting to DDS, regional center and service provider performance indicator (measures), Direct Support Professional (DSP) training, DSP pay differential, and the Community Navigator Program. The above does not cover all public policy initiatives. There are twenty-four (24) initiatives signaling major changes occurring and forthcoming within the regional center system of care ( See Attachment F).

### ***COVID-19 Booster Shot Update***

On October 25, 2021, DDS issued guidance detailing Centers for Disease Control and Prevention and the Western States Scientific Safety Review Workgroup recommendations related to COVID-19 Booster shots incorporating the Moderna, Johnson & Johnson, and Pfizer-BioNtech vaccines (See Attachment G).

### ***COVID-19 Vaccines for Children***

On November 3, 2021, DDS issued guidance detailing Centers for Disease Prevention and Control and the Western States Scientific Safety Review Workgroup recommendations related to COVID-19 vaccines for children ages 5 through 11 ( See Attachment H).

### ***Service Provider Rate Adjustment Implementation***

On November 3, 2021, DDS conducted a Zoom presentation for all stakeholder groups summarizing major components of the service provider rate adjustment schedule. In the most simplistic explanation, the rate model will be phased in over time with adjustments occurring on April 1, 2022, at 25% percent difference between current rate, and applicable rate model, on July 1, 2023, at 50% percent difference between current rate and applicable rate model, and on July 1, 2025, full implementation of the full rate model base rate 90% percent of rate model with 10% percent available contingent upon performance outcomes. The rate model is only inclusive of specific service codes you will find in ( Attachment I). Regional Center Fiscal Departments will have a heavy lift because rate changes are not automated, requiring manual process and crosswalk data sharing flowing between service providers, regional centers, and Health Management Associates.

### ***Participant Choice Specialists***

On November 4, 2021, DDS issued guidance regarding investments to support the expansion of SDP throughout the regional center system. SG/PRC received an Operations Budget allocation to hire two Participant Choice Specialists. SG/PRC has hired two staff competitively recruited that formally served as SDP Coaches, forward looking positions that SG/PRC created at its own initiative to support families that have decided to transition from traditional Individual Program Plan service delivery. Of note, the Participant Choice Specialists will support individuals served, their families, SG/PRC staff and service providers with both participant-directed services and SDP as subject matter experts. The 2021 Budget Act included \$7.2 million dollars to fund these positions over a three-year term (Attachment J).

### ***California Electronic Visit Verification Program***

On November 30, 2021, DDS hosted a Zoom webinar from 3 p.m. to 4 p.m. that provided training, and information regarding the Electronic Visit Verification Program. Service Providers can opt out of the free program offered by the State of California, if their third-party system conforms with the 21<sup>st</sup> Century Cures Act. Implementation of Electronic Visit Verification (EVV) is effective on January 1, 2022, for specific service codes listed within (Attachment K). All service providers included in the category defined as Personal Care Services (PCS) must self-register in the EVV electronic portal.

### ***Department Directive; Extension of Waivers, Modifications and Directives Due to COVID-19***

On November 23, 2021, DDS issued extensions for waivers or modifications to existing statute. All health and safety adjustments are important, while key waivers include the extension of the Regional Center Directors' health and safety waiver exemptions for rate adjustments for residential or supplemental services in residential settings, half-day billing for day program services, extension of Early Start services, and waiver of SDP budget restrictions for Financial Management Services (See Attachment L).

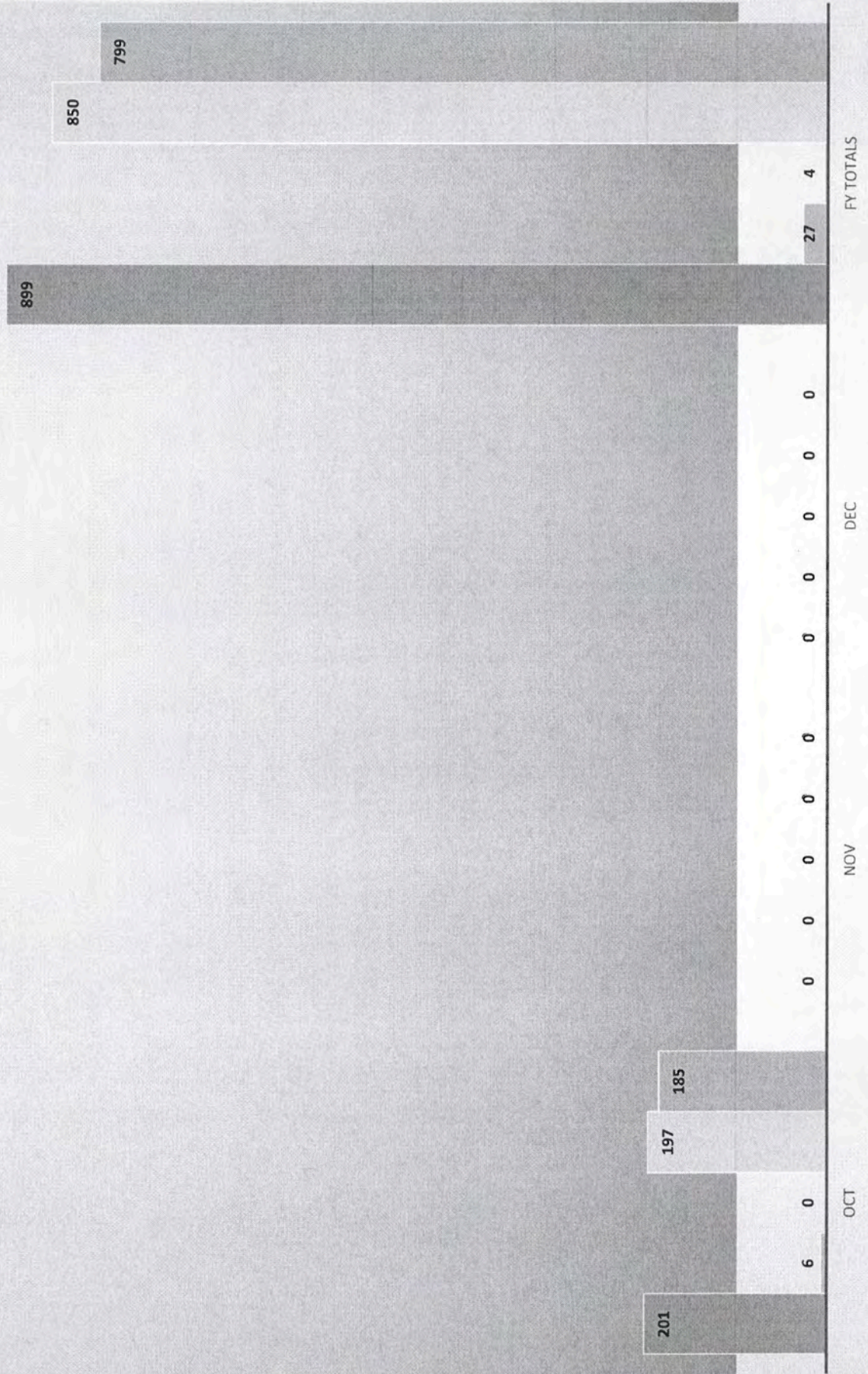
### **Association of Regional Center Agencies (ARCA) Updates**

The Association of Regional Center Agencies (ARCA) represents the 21 non-profit regional centers that advocate on behalf of and coordinates services for California's over 350,000 people with developmental disabilities. The Association functions as a leader and advocate in promoting the continuing entitlement of individuals with developmental disabilities to achieve their full potential and highest level of self-sufficiency. The Association participates in the development of public legislative policy that impact individuals with developmental disabilities and their families.

***Governor Newsom Announces Judicial Appointments***

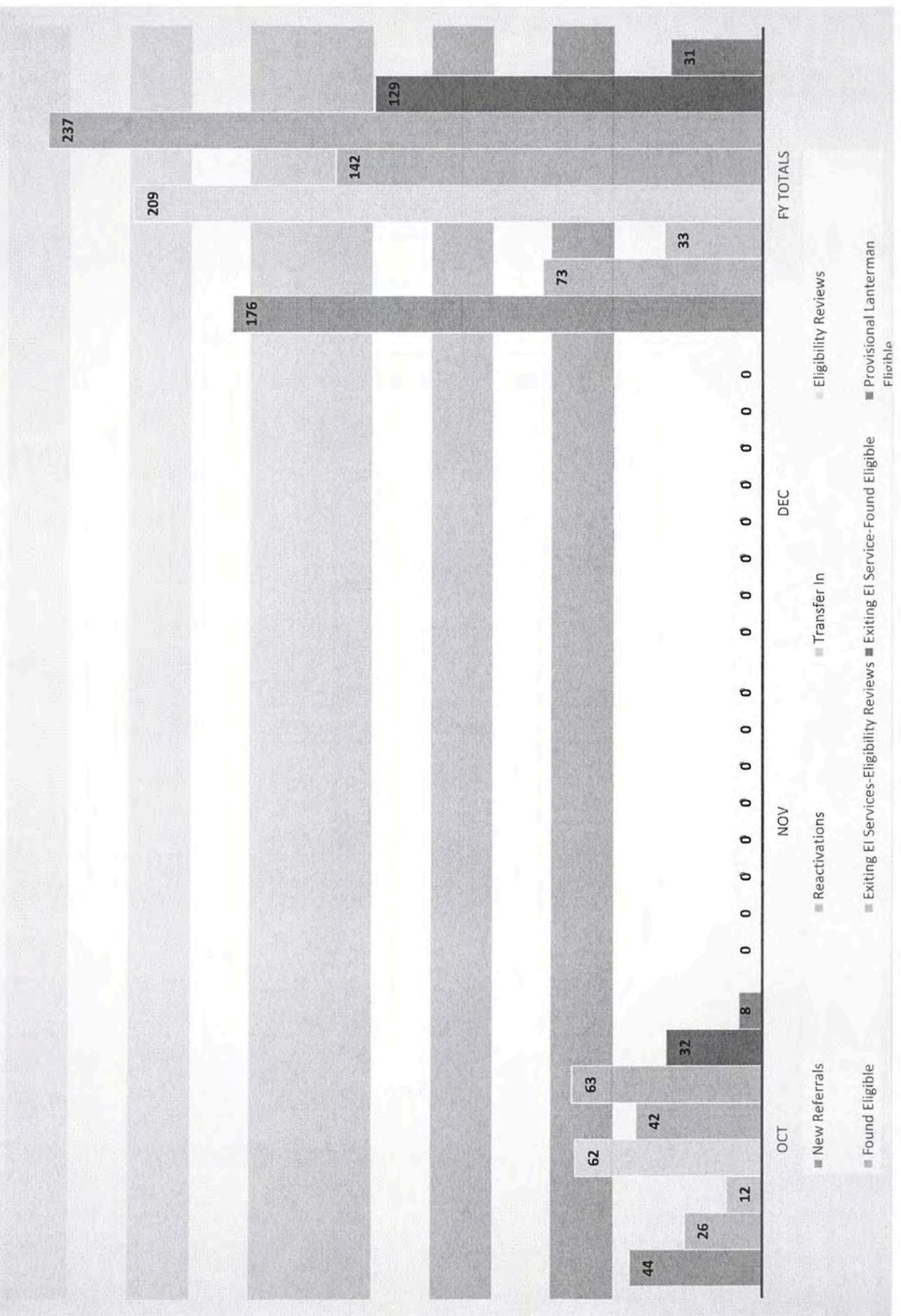
Edwin P. Chau, of Arcadia, State Assemblymember representing the 49<sup>th</sup> District since 2012 was recently appointed to serve as a Judge in the Los Angeles County Superior Court. Assemblymember Chau would have termed out in 2024. After, Assemblymember Chau resigns, Governor Newsom will presumably call a special election to fill the vacant seat.

## Early Start Referrals & Eligibility Reviews Second Quarter FY 2021-2022





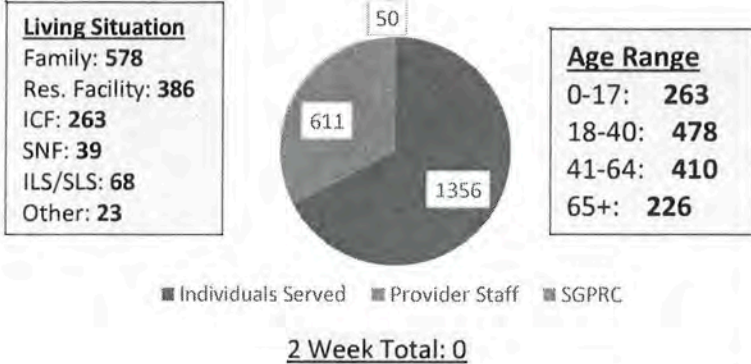
## Lanterman Intake Referrals & Eligibility Reviews Second Quarter-FY 2021-2022



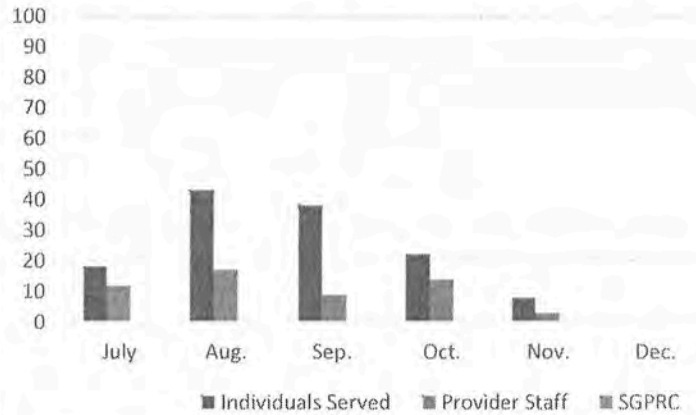
San Gabriel Pomona Regional Center COVID-19 Report Week of 11/29/21

California COVID-19 Data Total Cases: 4,780,867 Positivity: 1.9% (Was 2.3%) Hospitalizations: 3,430 Deaths: 73,365

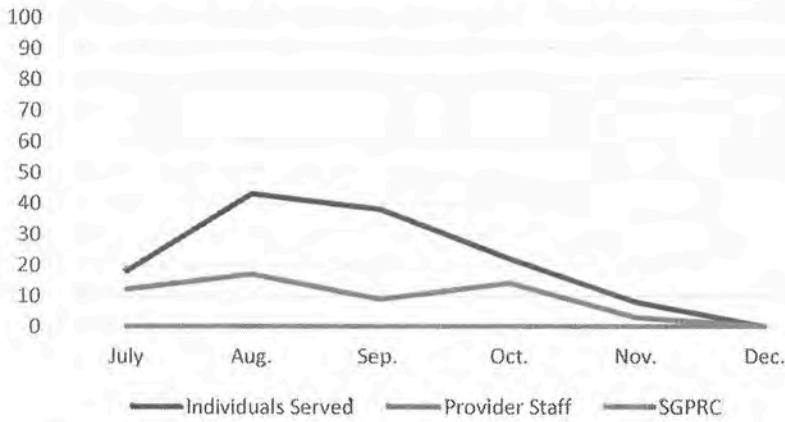
SGPRC Total Covid-19 Cases  
2020/2021



SGPRC Positive Covid-19 Cases 2021



SGPRC Positive Covid-19 Cases 2021



Covid-19 Deaths of Individuals Served  
2021

January	20
February	11
March	1
April	2
May	1
June	1
July	1
August	2
September	2
October	1
November	1
December	0
2020/2021 TOTAL	71

LA County Public Health Data

Total Cases	1,525,486
Current Hospitalizations	565 (Was 611)
Total Deaths	27,128
Positivity Rate	0.96% (Was 0.98%)
Testing	133,908
<b>SG/PRC SERVICE AREA HOTSPOTS / TOTAL CASES</b>	
Pomona	30,184
El Monte	19,429
West Covina	15,698
Baldwin Park	14,900

Covid-19 Vaccine Data

LOS ANGELES COUNTY	
Doses Administered	14,345,465
Fully Vaccinated (Ages 12+)	74%
Received 1 Dose	76%
Seniors (65+) Fully Vaccinated	95.7%
Booster	1,197,538
CALIFORNIA	
Doses Administered	58,056,991
Fully Vaccinated (Ages 5+)	67.4%
Partially Vaccinated	8.7%
Booster	5,649,427



SAN GABRIEL/POMONA  
REGIONAL CENTER

# COVID-19 TESTING

**FREE TESTING  
OFFERED TO INDIVIDUALS  
WE SUPPORT, THEIR FAMILIES,  
VENDORS & SG/PRC STAFF**

**Testing available 4 days a week.  
Monday through Thursday  
9 a.m. to 11:30 a.m.**

**Registration is  
Highly Encouraged**

**Testing Site:**

**San Gabriel/Pomona  
Regional Center  
75 Rancho Camino Drive**

**TO REGISTER,  
PLEASE CLICK HERE**

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**For questions, email us at  
[covidtesting@sgprc.org](mailto:covidtesting@sgprc.org)**



**S**AN GABRIEL/POMONA  
REGIONAL CENTER

# PRUEBAS PARA EL COVID-19

**SE OFRECEN PRUEBAS  
GRATUITAS PARA LOS INDIVIDUOS  
QUE APOYAMOS Y A SUS FAMILIAS,  
LOS PROVEEDORES DE SERVICIO  
Y LOS EMPLEADOS DEL SG/PRC**

**Citas disponibles 4 días a  
la semana de Lunes a Jueves  
9 a.m. a 11:30 a.m.**

**Se le sugiere que se  
registren con anticipación**

**Sitio:**

**San Gabriel/Pomona  
Regional Center  
75 Rancho Camino Drive  
Pomona, CA 91766**

**Regístrese aquí**

Este servicio es posible por medio de  
SG/PRC y los siguientes colaboradores

[https://home.color.com/covid/  
sign-up/start?partner=cdph681](https://home.color.com/covid/sign-up/start?partner=cdph681)



Valencia Branch  
Laboratory

**color**



**Para preguntas, puede mandarnos un  
correo electrónico a [covidtesting@sgprc.org](mailto:covidtesting@sgprc.org)**



# SAN GABRIEL/POMONA REGIONAL CENTER



## SELF-DETERMINATION PROGRAM MEET & GREET SESSIONS

### JOIN US FOR A SPECIAL MEET GREET WITH FINANCIAL MANAGEMENT SERVICE (FMS) PROVIDERS

This is a great opportunity to get questions answered directly from FMS providers and SGPRC staff to help you navigate the SDP Program.

- Find out what is an FMS
- Meet our FMS Providers
- What are the three models?
- Which model is right for you?
- Q & A Session



VIA ZOOM ON  
SATURDAY, DECEMBER 11, 2021  
10:00 A.M. TO 11:30 A.M.

Interpretation will be available in  
Korean, Mandarin, Spanish and Vietnamese.



TO REGISTER CLICK HERE  
OR VISIT OUR SDP CALENDAR  
OF EVENTS ON OUR WEBSITE:

### REGISTER IN ADVANCE FOR THIS MEETING

After registering, you will receive a confirmation email containing information about joining the meeting.

For questions about the Self Determination Program, please email us at [SelfDetermination@sgprc.org](mailto:SelfDetermination@sgprc.org) or contact your Service Coordinator.

VISIT THE SG/PRC WEBSITE AT: [www.sgprc.org](http://www.sgprc.org)

# SAN GABRIEL/POMONA REGIONAL CENTER

November 24, 2021

Honorable Supervisor Hilda Solis  
Ms. Kimberly Olivares, Assistant Deputy/Caseworker  
San Gabriel Valley Field Office  
1441 Santa Anita Avenue  
South El Monte, CA 91733

RE: Donation of Turkeys & Food Boxes

Dear Supervisor Solis:

San Gabriel/Pomona Regional Center (SG/PRC) is a non-profit organization that works in partnership with individuals with developmental disabilities, their families, service providers and the community to promote individuals with developmental disabilities' empowerment, independence, and integration into community life.

As always, you have the entire community's well-being, health, and safety as the priority through your daily service for all of us. We are very blessed and grateful that our community which we serve at SG/PRC is always included in these opportunities demonstrated through your kindness and goodwill to battle food insecurity, while at the same time strengthening families.... our shared foundation for community that serves all of us.

We shared with the families that received your goodwill that it came from your thoughtfulness, care, and compassion. We appreciate you Supervisor Solis, your staff, and your leadership example. We value our shared partnership, exceeded through our admiration for you.

Kindest regards,



Anthony Hill, M.A. Attorney at Law  
Executive Director  
San Gabriel/Pomona Regional Center  
(909) 710-8187

cc: Kimberly Olivares, Assistant Field Deputy/Caseworker

**DEPARTMENT OF DEVELOPMENTAL SERVICES**

1215 O Street, MS 8-30  
Sacramento, CA 95814  
TTY: 711  
(833) 421-0061



October 19, 2021

TO: REGIONAL CENTER EXECUTIVE DIRECTORS AND BOARD PRESIDENTS

SUBJECT: JULY 2021 TRAILER BILL LANGUAGE AFFECTING REGIONAL CENTERS

The purpose of this correspondence is to provide a summary of the recently enacted Developmental Services Budget Trailer Bill, AB 136 (Chapter 76, Statutes of 2021), which directly impacts regional centers, state operated services and the developmental disabilities services system. The following is a list of areas affected by, or new requirements resulting from, AB 136, and the bill sections where relevant statutory changes can be found:

- Provisional Eligibility for Lanterman Act Services, Sections 20 and 30
- Early Start, Section 2
- Remote Services and Supports Meetings, Section 31
- Repeal of Suspended Services Statute, Section 32
- Out-of-State Services, Sections 21 and 22
- Group Homes for Children with Special Health Care Needs (GHCSHN) and Adult Residential Facilities for Persons with Special Health Care Needs (ARFPSHN), Sections 3-11, 13-15, 34-46 and 60-63
- State-Operated Facilities, Sections 1, 16, 54, 55, 56 and 59
- Caseload Ratios and Contract Requirements, Section 28
- Implicit Bias Training, Section 18
- Uniform Holiday Schedule, Section 51
- Repeal of Suspension of Rate Increases for Specified Services, Section 50
- Direct Service Professional Pay Differential, Section 19
- Direct Service Professional Training, Section 29
- Independent Evaluation of Equity and Disparities Efforts, Section 23
- Language Access and Cultural Competency Initiative, Section 26
- Community Navigator Program, Section 24
- Paid Internship Program (PIP) and Competitive Integrated Employment (CIE) Incentive Payments, Section 52
- Increase Pathways to CIE, Section 53
- Self-Determination Program (SDP), Section 47
- Office of the SDP Ombudsperson, Section 48
- Rate Reform and Quality Incentive Program, Section 25
- Performance Improvement Indicators, Section 27
- Quarterly Legislative Briefings, Section 17

**“Building Partnerships, Supporting Choices”**

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The changes made by AB 136 became effective with the passage of the bill on July 16, 2021, unless otherwise specified. While this correspondence provides a high-level summary of AB 136, a complete and thorough review of the bill is imperative for regional centers' statutory compliance. Regional centers should continue to educate their communities regarding these legislative changes.

**Provisional Eligibility for Lanterman Act Services**

TBL Sections 20 and 30: Welfare and Institutions Code<sup>1</sup> Section 4512 was amended to state, if a child who is three or four years of age is not otherwise eligible for regional center services pursuant to Section 4512(a)(1), the child may be provisionally eligible for regional center services if the child has a disability that is not solely physical in nature and has significant functional limitations in at least two of the following areas of major life activity, as determined by a regional center and as appropriate to the age of the child:

1. Self-care.
2. Receptive and expressive language.
3. Learning.
4. Mobility.
5. Self-direction.

To be provisionally eligible, a child is not required to have one of the developmental disabilities listed in Section 4512(a)(1).

An infant or toddler eligible for early intervention services from the regional center pursuant to Government Code Section 95014 must be assessed by the regional center at least 90 days prior to the date that they turn three years of age for purposes of determining their ongoing eligibility for regional center services. That assessment initially shall determine if the child has a developmental disability under Section 4512(a)(1). If the regional center determines that the child does not have a developmental disability as defined in Section 4512(a)(1), the regional center must determine if the child is provisionally eligible for regional center services. If the regional center determines the child is not provisionally eligible, the regional center must give adequate notice pursuant to Section 4701.

A child who is provisionally eligible must be reassessed at least 90 days before turning five years of age. The child must meet the definition set forth in Section 4512(a)(1) to continue to be eligible for regional center services at five years of age. Regional center services for a child who was provisionally eligible and who does not meet the definition

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<sup>1</sup> All citations are to the Welfare and Institutions Code unless otherwise noted.



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in Section 4512(a)(1) shall end when the child is five years of age unless an appeal was filed pursuant to Section 4715.

Section 4643.5 was amended to state that if a child has been determined to be provisionally eligible for services by a regional center, the child shall also be considered provisionally eligible by any other regional center if the child has moved to another location within the state. The child shall remain provisionally eligible unless a regional center, following a comprehensive reassessment, concludes that the original determination is clearly erroneous.

*Implementation: The Department of Developmental Services (Department) sent guidance to regional centers on August 5, 2021, providing clarification on the amendments and use of status code "U" for children who are determined provisionally eligible. See Enclosure A.*

#### **Early Start**

**TBL Section 2:** Government Code Section 95020 was amended to include the "legal guardian" as a person who may provide consent and participate in activities provided in this section.

Government Code Section 95020(c)(1) was amended to state, notwithstanding any other law, until June 30, 2022, and at the request of the parent or legal guardian, an individualized family service plan (IFSP) meeting must be held by remote electronic communications.

Government Code Section 95020(d)(5)(A) was amended to specify that early intervention services may be delivered by remote electronic communications.

*Implementation: The Department will promulgate regulations to amend California Code of Regulations, Title 17, to state that an IFSP meeting may be conducted through remote electronic communications when requested by the parent or legal guardian.*

#### **Remote Services and Supports Meetings**

**TBL Section 31:** Section 4646(f) was amended to state, notwithstanding any other law, until June 30, 2022, a meeting regarding the provision of services and supports by the regional center, including a meeting to develop or revise the individual program plan (IPP), must be held by remote electronic communications if requested by the consumer or, if appropriate, the consumer's parents, legal guardian, conservator, or authorized representative.

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*Implementation:* This provision does not apply to regional center quality assurance and/or monitoring visits, including quarterly face-to-face visits for individuals residing in out-of-home settings.

### **Repeal of Suspended Services Statute**

TBL Section 32: Section 4648.5 was amended to end on June 30, 2021, the suspension of regional centers' authority to purchase camping services and associated travel expenses; social recreation activities, except for those activities vended as community-based day programs; educational services for children three to 17, inclusive, years of age; and nonmedical therapies, including, but not limited to, specialized recreation, art, dance, and music.

This section became inoperative on July 1, 2021, and as of January 1, 2022 is repealed.

*Implementation:* The Department sent correspondence to regional centers on October 7, 2021, requesting regional centers provide information to service coordinators and conduct outreach to consumers, families, providers and local community organizations to facilitate awareness about the availability of these services. Regional centers must submit an outreach plan and revise their purchase of service policies, as needed, and submit them to the Department by December 15, 2021. See Enclosure B.

### **Out-of-State Services**

TBL Sections 21 and 22: Section 4519 was amended, authorizing the Director of the Department, until December 31, 2021, to approve an extension for longer than six months for consumers who were receiving out-of-state services as of July 1, 2021. Additionally, for consumers who were receiving out-of-state services as of July 1, 2021, the report required pursuant to Section 4519(c) may be reviewed and updated less frequently than every three months if the Director determines a longer period between the reviews and updates is appropriate. These amendments will remain in effect only until January 1, 2022.

### **Group Homes for Children with Special Health Care Needs (GHCSHN) & Adult Residential Facilities for Persons with Special Health Care Needs (ARFPSHN)**

TBL Sections (see "Implementation"): Various sections of the Welfare and Institutions (W&I) Code and Health and Safety (H&S) Code were amended or added to establish GHCSHN as a new home model, similar to ARFPSHNs, for children with developmental disabilities. H&S Code Section 1502(a)(22) defines GHCSHN as a group home certified by the Department pursuant to W&I Code Article 3.5 (commencing with Section 4684.50), and licensed by the California Department of Social Services (CDSS) pursuant to H&S Code Article 9 (commencing with Section 1567.50), that provides 24-

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hour health care and intensive support services to a maximum of five children with developmental disabilities, in a homelike setting.

H&S Code Section 1567.51(a) and W&I Code Section 4684.53(a) state that the Department and CDSS must jointly implement a licensing program to provide special health care and intensive support services to children in homelike community settings. The program shall be implemented through approved community placement plans.

Pursuant to H&S Code Section 1567.51(c), notwithstanding W&I Code Section 4684.53(c)(2), CDSS and the Department may adopt regulations, including emergency regulations, to implement this section.

W&I Code Section 4684.74 was amended to state that the Department shall only approve the development of ARFPSHNs or GHCSHNs that are directly associated with the identification of the need of regional center consumers residing in or at risk of placement in larger congregate settings. Prior to this amendment, the Department was only authorized to approve development of ARFPSHNs for the purpose of community placement of developmental center residents.

*Implementation: The Department will issue in Fall 2021, the Fiscal Year 2021-22 Community Placement Plan/Community Resource Development Plan Guidelines with information on development of GHCSHNs and additional ARFPSHNs. The Department will host workshops for regional centers and potential providers of both the GHCSHN and ARFPSHN models to discuss applicable statute, regulation and program design components. The first workshop is scheduled for November 8, 2021. The Department recommends regional centers review the June 28, 2021 "Amended Senate" version of AB 136 to gain a thorough understanding of GHCSHN requirements and related statutory amendments. Relevant sections of AB 136, H&S Code and W&I Code are as follows:*

- *Sec. 3 amended H&S Code Section 1502*
- *Sec. 4 amended H&S Code Section 1507.2*
- *Sec. 5 added H&S Code Section 1507.35*
- *Sec. 6 amended H&S Code Section 1524*
- *Sec. 7 amended H&S Code Section 1534*
- *Sec. 8 amended H&S Code Section 1538*
- *Sec. 9 amended H&S Code Section 1538.55*
- *Sec. 10 amended H&S Code Section 1548*
- *Sec. 11 amended H&S Code Section 1566.45*
- *Sec. 13 added H&S Code Section 1567.51*
- *Sec. 14 amended W&I Code Section 361.2*

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- *Sec. 15 amended W&I Code Section 727*
- *Sec. 34 amended W&I Code Section 4684.50*
- *Sec. 35 amended W&I Code Section 4684.53*
- *Sec. 36 amended W&I Code Section 4684.55*
- *Sec. 37 amended W&I Code Section 4684.58*
- *Sec. 38 amended W&I Code Section 4684.60*
- *Sec. 39 amended W&I Code Section 4684.63*
- *Sec. 40 amended W&I Code Section 4684.65*
- *Sec. 41 amended W&I Code Section 4684.68*
- *Sec. 42 amended W&I Code Section 4684.70*
- *Sec. 43 amended W&I Code Section 4684.73*
- *Sec. 44 amended W&I Code Section 4684.74*
- *Sec. 45 amended W&I Code Section 4684.76*
- *Sec. 46 amended W&I Code Section 4684.77*
- *Sec. 60 amended W&I Code Section 11402*
- *Sec. 61 amended W&I Code Section 17731*
- *Sec. 62 amended W&I Code Section 17732*
- *Sec. 63 amended W&I Code Section 17736*

#### **State-Operated Facilities**

TBL Sections 1, 16, 54, 55, 56 and 59: Section 6500 was amended to state that a person with a developmental disability may be committed to the Department for placement in a state developmental center or state-operated community facility as a result of an acute crisis. Additionally, Section 6509 specifies that a person committed to the Department for suitable treatment and habilitation services may be placed in a Stabilization, Training, Assistance and Reintegration (STAR) home.

Section 4418.7 was amended to specify that the term "acute crisis home operated by the department" means property used to provide STAR services. This section was also amended to require approval from the Director of the Department or their designee prior to the regional center pursuing obtainment of a court order for admission to a STAR home.

Section 6502 was amended to state that a petition for the commitment of a person with a developmental disability to the Department who is in acute crisis may be filed in the superior court of the county that determined the question of acute crisis or the county in which the acute crisis home is located.



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Government Code Section 14669.22 was amended to state that the Department may lease, lease-purchase, or lease with the option to purchase five STAR homes.

Sections 7505(a)(4) and (5) were amended to extend the dates by when a person may be committed by a court to the Canyon Springs Community Facility, as specified in this section, to June 30, 2022.

*Implementation: Sections 4418.7, 6500 and 6502 were amended for clarification. Pursuant to the change in Government Code, the Department will continue development of acute crisis homes.*

*Canyon Springs will continue to admit individuals committed by a court for acute crisis admission until June 30, 2022. The Desert STAR capacity is 10 beds. If the regional center determines the community placement of a consumer is at risk of failing, and the consumer meets the acute crisis criteria as stated in Section 4418.7, the regional center may submit a request to the state operated acute crisis services at*

*StarReferral@dds.ca.gov. The request must include the following information:*

- *Cover letter with a description of the acute crisis situation, all alternatives that have been used to support the individual in the community including specific details on what resources have been attempted, other regional center resources that have been explored and the outcomes, the date the statewide specialized resource services database was accessed and the outcome, reflection that the regional center executive director has approved the referral for crisis admission, and which STAR home is to be considered (South STAR, Northern STAR, Central STAR or Desert STAR).*
- *Completed DS 2518*
- *Current psychological, psychiatric and/or behavioral assessment*
- *Current Client Development Evaluation Report*
- *Most recent IPP*
- *The date the individual became eligible for regional center services*
- *Any additional pertinent information that would assist in the assessment process*

*The appropriate regional resource development project will complete an assessment prior to the consideration of an admission and the findings will be forwarded to the Director of the Department or the Director's designee. Prior to any admission, the Director of the Department or the Director's designee must certify that there are no community-based options that can meet the consumer's needs.*

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### **Caseload Ratios and Contract Requirements**

**TBL Section 28:** Section 4640.6 was amended to state that each time new funds are appropriated in the annual Budget Act to the Department for allocation to regional centers with the stated purpose of reducing caseload ratios, both of the following must occur:

1. Each regional center must hold at least one public meeting during that year to receive stakeholder input to help inform the way the regional center allocates new positions funded through the allocation to that regional center. Stakeholders may include the state council, local organizations representing consumers, family members, community-based organizations that represent the ethnic and language diversity of the regional center catchment area, regional center employees, including recognized labor organizations and service providers, and other interested parties. The public meeting required by this paragraph fulfills a regional center's requirement under Section 4640.6(f) to gather input regarding its plan of correction if the plan of correction is discussed during the meeting.
2. On or before October 10 of the year of the appropriation of funds, and again by March 10 of that fiscal year, and in a format prescribed by the Department, each regional center must report, and the Department must post to its website, the following information:
  - a. The number of new service coordinator positions created with the funds allocated to reduce caseload ratios.
  - b. Data on current caseload ratios as of March 1 and October 1, respectively.
  - c. Any other information determined by the Department.

Additionally, Section 4640.6 was amended to state that the contract between the Department and a regional center shall require the regional center to have, or contract for, the following additional areas:

- Implicit bias training, pursuant to Section 4511.1, to increase service access and equity in the developmental services system.
- Language access and cultural competency services and support, pursuant to Section 4620.4, to allow consistent access to information and services and to advance person-centered health and human services equity outcomes toward the diminishment of racial, ethnic, and other disparities.

*Implementation: New funds will be appropriated to the Department in Fiscal Year 2022-23 for allocation to regional centers with the stated purpose of reducing caseload ratios. The provisions of Section 4640.6(g) will be effective in FY 2022-23, and the Department will send related guidance to regional centers prior to the provisions taking effect. The Department will work with the Association of Regional Center Agencies' Contract*

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*Negotiation Committee to include language in regional center contracts regarding implicit bias training and language access and cultural competency services and support.*

### **Implicit Bias Training**

TBL Section 18: Section 4511.1 was added to state that the Department must require regional centers to implement implicit bias training through its contracts pursuant to Section 4640.6 and establish course content and training frequency requirements for that training.

All regional center personnel must comply with the implicit bias training requirements of Section 4640.6(g)(9) and this section. This section shall additionally apply to regional center contractors involved in intake and assessment and eligibility determinations. The Department must specify the timelines by which training must be completed. Training must be prioritized for regional center employees and contractors who are involved in eligibility determination or directly assist individuals and their families, or both, during the regional center intake and assessment processes, service coordination, and regional center employees who are involved in developing and implementing purchase of service policies and other policies, guidelines, instructions, or training materials utilized by regional centers when determining the service needs of consumers.

The training described by this section may be procured either by the Department or by a regional center that has obtained prior approval by the Department. Each regional center and its contractors shall retain the training record of employees in their respective personnel files. Regional centers must annually inform the Department of the percentage of its contractors and regional center personnel, identified by job classification, who have successfully completed the training. A list and description of trainings procured by the Department, or a regional center with approval by the Department, must be posted on the Department's website.

The Department or regional center shall make reasonable efforts to procure training that considers all of the following:

1. Trainers who are representative of the diversity of persons served by regional centers.
2. Academic training in implicit bias or experience educating public benefit programs about implicit bias and its effects on people accessing and interacting with public benefit programs.
3. The impact of implicit bias, explicit bias, and systemic bias on public benefit programs and the effect this can have on individuals seeking eligibility for and services through public benefit programs.
4. Actionable steps trainees can take to recognize and address their own implicit biases.

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*Implementation:* The Department has coordinated with the Association of Regional Center Agencies to consider stakeholder input received, course content, and key implementation elements. The Department met with the African American Focus Group and regional center Cultural Specialists to discuss the implicit bias initiative and to obtain stakeholder input. On September 17, 2021, the Department hosted a community-based organization meeting to gather additional stakeholder input. The Department will send regional centers a subsequent correspondence regarding the timelines by which training must be completed, course content, training frequency requirements, and annual reporting requirements.

#### **Uniform Holiday Schedule**

**TBL Section 51:** Section 4692 was repealed, deleting Uniform Holiday Schedule requirements.

#### **Repeal of Suspension of Rate Increases for Specified Services**

**TBL Section 50:** Section 4691.12 was amended, deleting language that would suspend on December 31, 2021, the rate increases for services specified in this section.

*Implementation:* The Department sent correspondence to regional centers on October 19, 2021, with information on applicable rate increases and the deletion of Section 4691.12(c)(1). See Enclosure C.

#### **Direct Service Professional Pay Differential**

**TBL Section 29:** Section 4641.1 was added, requiring the Department to establish and implement a system that promotes equity in access to services for regional center consumers by providing a pay differential to direct service professionals who can communicate in a language or medium other than English as part of their regular job duties.

Notwithstanding any other law, a direct service professional, as described above, is eligible for a bilingual or multilingual differential if both of the following conditions are met:

1. The duties currently assigned to the direct service professional require regular communication in a language or medium other than English with an individual who has a developmental disability, and when appropriate, their families.
2. The direct service professional passes an examination certifying their ability to communicate in the language or medium other than English.

For the purpose of this section, "medium other than English" includes, but is not limited to, American Sign Language and other sign languages and augmentative and alternative communication.



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The Department may adopt emergency regulations to implement this section. Prior to implementation, the Department shall provide a report to the Legislature detailing its plan to implement a wage differential for bilingual and multilingual staff.

*Implementation: The Department will meet with stakeholders during Fiscal Year 2021-22 to discuss the implementation of paying direct service professionals a differential for those staff who can provide bilingual services, including American Sign Language. A verification process for bilingual competency will be developed after discussions with stakeholders.*

#### **Direct Service Professional Training**

**TBL Section 19:** Section 4511.5 was added, requiring the Department, with input from stakeholders, to develop or utilize existing curriculum to implement enhanced direct service professional training that promotes services that are person centered and culturally and linguistically sensitive, and that improve outcomes for individuals with intellectual and developmental disabilities. The training must include, but is not limited to, all of the following elements:

- Competency based
- Tiered training and certification
- Continuing education
- Outcome measures, as defined by the Department, with input from stakeholders

The Department must consult with stakeholders throughout the implementation of this section. This consultation must include facilitation of a process for providing input and comments on implementation. Stakeholders may include, but are not limited to, the following:

- Consumers and families across different geographic regions of the state and from diverse racial and ethnic backgrounds, diverse consumer age groups and disabilities
- Regional center representatives
- Service providers representing a diverse range of service types and models

Implementation of this section is subject to an appropriation of funds for this purpose in the annual Budget Act.

*Implementation: The Department will work with stakeholders on the development of enhanced direct service professional training. Additional information is forthcoming.*

#### **Independent Evaluation of Equity and Disparities Efforts**

**TBL Section 23:** Section 4519.5 was amended to require the Department, on or before December 31, 2021, to contract with an entity or entities with demonstrated experience

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in quantitative and qualitative data evaluation to design and conduct an independent evaluation of the efforts to promote equity and reduce disparities pursuant to Section 4519.5(h).

*Implementation: The Department issued a Request for Proposal on September 10, 2021, to design and conduct an independent evaluation of efforts to advance equity and reduce disparities in the developmental disabilities services system. The Notice of Intent to Award will be issued on November 8, 2021. As part of the evaluation process, Service Access and Equity grantees may be required to submit additional records from prior grants.*

#### **Language Access and Cultural Competency Initiative**

**TBL Section 26:** Section 4620.4 was added, requiring the Department to administer an enhanced language access and cultural competency initiative for individuals with developmental disabilities, their caregivers, and their family members. The Department must require regional centers to implement this initiative through its contracts pursuant to Section 4640.6. The primary goal is to improve quality and facilitate more consistent access to information and services.

Allowable uses of the funds provided to regional centers include, but are not limited to, the following:

1. Identification of vital documents and website content for translation, as well as points of public contact in need of oral and sign language interpretation services.
2. Orientations and specialized group and family information sessions with ample and publicized question and answer periods, scheduled at times considered most convenient for working families and in consultation with community leaders.
3. Regular and periodic language needs assessments to determine threshold languages for document translation.
4. Coordination and streamlining of interpretation and translation services.
5. Implementation of quality control measures to ensure the availability, accuracy, readability, and cultural appropriateness of translations.

The use of these funds may not supplant any existing efforts or funds for similar purposes, but are intended to augment and provide maximum additional benefit to the greatest number of persons served, their caregivers, and their families.

The Department must report annually, beginning January 10, 2022, as part of the Governor's Budget and the May Revision, how these funds are being utilized and what remaining needs for language access and culturally competent services are identified by people served, the community, and regional centers as the initiative implements.

Regional Center Executive Directors  
October 19, 2021  
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Regional centers will receive specialized funding allocations to facilitate applications for payments authorized to protect the health and safety of consumers, pursuant to Section 4681.6(a)(1), for non-English speaking individuals served. Funded activities must include specialized outreach and case management services toward identifying which individuals might have an unaddressed need for a health and safety waiver and assisting with guiding individuals through the application process to meet those needs. Regional centers must track the number of individuals served through this effort and provide this information to the Department on at least an annual basis.

*Implementation: The Department will meet with stakeholders to obtain input on the development of the Language Access and Cultural Competency Initiative. The Department will send regional centers a subsequent correspondence outlining the process for submitting information related to the identification of health and safety waiver needs and facilitation of health and safety waiver requests for non-English speaking individuals served.*

#### **Community Navigator Program**

**TBL Section 24:** Section 4519.9 was added, requiring the Department to establish a community navigator program to promote the utilization of generic and regional center services by using community leaders, family members, or self-advocates to provide information and guidance to consumers and their families who could benefit from added support to navigate available resources. The Department must engage stakeholders to obtain input on key elements for the community navigator program by August 31, 2021.

The program must be structured to utilize individuals and families who are receiving or have received regional center services to provide education to those individuals who are or may be eligible for regional center services regarding the developmental disabilities services system, agencies available to assist them, and other available resources. The program must focus on cultural and linguistic competency in supporting individuals and families to improve equitable access to regional center services.

The Department must allocate funding to family resource centers to administer community navigator programs. To the extent possible, each funded program must share a culture or ethnicity, or both, community, and language with the individuals and families they support when offering assistance and education to reduce barriers in accessing services. Each program funded pursuant to this subdivision must assist individuals and families in accessing and utilizing generic and regional center services in their communities, including, but not limited to, assistance in building trust with regional center staff, education on available services, guidance on how to request needed services, and support from peers in the community who have experience with the regional center system.



## PROCESSING – SERVICE COLLECTION DATA FORM

- Form to be used to report information needed to determine appropriate rate model for calculating the rate increase for a given vendorization
- Example of information needed to determine rate increase for a day program (since the rate models vary based on these factors)
  - Whether program meets criteria for specialized medical or behavioral
  - Staffing ratio
  - Service setting (proportion of time in center and in community)
  - For non-hourly unit types, number of hours of service being provided
    - For example, services billed using a daily unit will require average number of hours per day to determine an effective hourly rate for comparison to the rate model to allow calculation of the percentage rate increase to be applied to the rate
- Forms will feature detailed instructions and definitions to guide responses



## DATA SHARING – PROCESS FLOW



- Intent is to send in four batches of service codes to allow Regional Centers to review and provide feedback in a staggered fashion
- Information will be shared via a 'secure-share' site similar to the process used for sharing non-residential alternative service delivery rates



Q & A

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**DEPARTMENT OF DEVELOPMENTAL SERVICES**

1215 O Street, MS 9-90  
Sacramento, CA 95814  
TTY: 711  
(833) 421-0061



November 4, 2021

TO: REGIONAL CENTER EXECUTIVE DIRECTORS

SUBJECT: PARTICIPANT CHOICE SPECIALISTS

The 2021 Budget Act included \$7.2 million to fund three-year limited term Participant Choice Specialists for each regional center. These positions are intended to be subject matter experts in regional center service options that increase consumer choice and control in participant-directed services and the Self-Determination Program (SDP), and shall not be case carrying.

Participant Choice Specialists should be fully dedicated to supporting regional center service coordinators, assisting consumers and families with timely transitions to SDP, and building regional center institutional knowledge regarding participant-directed service options, including SDP. Consistent with statute and regional center contract, it is the Department of Developmental Services' (Department) expectation that regional centers facilitate SDP-related information sharing (e.g., enrollment activity, barriers to enrollment, Department guidance, regional center best practices) between each regional center and the Department. The regional center may choose their Participant Choice Specialists to help accomplish this task. Participant Choice Specialists are intended to assist the regional center in carrying out certain responsibilities related to SDP and participant-directed service options. These include, but are not limited to:

1. Making accurate information available to regional center staff, consumers and families about all participant-directed service options, including state and federal regulations that determine allowable and non-allowable use of SDP funds.
2. Providing training to service coordinators, regional center staff involved in the fair hearing process and other regional center staff, as needed, about the principles of self-determination, how services are obtained through SDP and the rights of SDP participants. Training may be provided in conjunction with the local volunteer advisory committee. [Welfare and Institutions (W&I) Code section 4685.8(r)(9)]
3. Meeting SDP enrollment targets and benchmarks. [W&I Code section 4685.8(r)(1)]
4. Expanding awareness of participant-directed service options through efforts to identify concerns and barriers to enrollment, reduce identified barriers and by providing information and training to consumers, families and providers about participant-directed service options.

**“Building Partnerships, Supporting Choices”**

Regional Center Executive Directors  
November 4, 2021  
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5. Developing and implementing an outreach and training plan for diverse communities and obtaining input from stakeholders about the effectiveness of the outreach and training and other strategies. The plan shall encompass information about all participant-directed service options, including SDP. [W&I Code section 4685.8(r)(2)]
6. Completing additional review of participant budgets which exceed a specified threshold and using the information in aggregate to identify additional training, program guidance, and verification of compliance with state and federal requirements. [W&I Code section 4685.8(r)(5)]
7. Working with eligible consumers and their families to apply for Medi-Cal. [W&I Code section 4685.8(r)(4)]

Consumers, family members, or providers should contact their local regional center with questions regarding this program directive. Questions from regional centers may be sent to [sdp@dds.ca.gov](mailto:sdp@dds.ca.gov).

Sincerely,

*Original Signed by:*

BRIAN WINFIELD  
Chief Deputy Director

cc: Regional Center Administrators  
Regional Center Directors of Consumer Services  
Regional Center Community Services Directors  
Association of Regional Center Agencies  
Nancy Bargmann, Department of Developmental Services  
Maricris Acon, Department of Developmental Services  
Tim Travis, Department of Developmental Services  
Erica Reimer Snell, Department of Developmental Services





**Date:** November 23, 2021

**Subject:** Registration Requirement for Personal Care Service Providers

**If you are a regional center provider of personal care services (PCS) identified below, you must complete the provider self-registration for the CalEVV program as soon as possible to ensure you are ready to use EVV by January 1, 2022.**

Homemaker 858
Homemaker Service 860
In-Home Respite Service Agency 862
In-Home Respite Worker 864
Participant Directed Respite 465
Personal Assistance 062
Supported Living Services 896

**To log-in and complete self-registration, click [here](#).**

**DDS is hosting a webinar on November 30, 2021 from 3pm – 4pm. During this webinar, we'll walk through the self-registration portal and answer questions you may have regarding registration.**

**To register for the DDS hosted webinar, click [here](#).**

You can wait to self-register until after the webinar on November 30<sup>th</sup>.

**Registering in the Self-Registration Portal is Required**

EVV is required per the 21st Century Cures Act (Cures Act). The provider self-registration will help the state clearly identify providers needing to comply with the Cures Act.

All PCS providers are **required** to register in the portal in order to be able to send data to the California EVV program, either through CalEVV, the state-supplied system, or their chosen Alternate EVV system, or third-party system. If a provider does not register in the portal, they will not be able to send data to the California EVV system and comply with the 21<sup>st</sup> Century Cures Act.

**Questions and More Information**

- Quick reference Guide (attached)
- EVV websites:
  - DDS: <https://www.dds.ca.gov/services/evv/>
  - DHCS: <https://www.dhcs.ca.gov/provgovpart/Pages/EVV.aspx>

If you have any questions or comments, please email [EVV@dds.ca.gov](mailto:EVV@dds.ca.gov). Thank you!

**DEPARTMENT OF DEVELOPMENTAL SERVICES**

1215 O Street, MS 9-90  
 Sacramento, CA 95814  
 TTY: 711  
 (833) 421-0061



November 23, 2021

TO: REGIONAL CENTER EXECUTIVE DIRECTORS

SUBJECT: DEPARTMENT DIRECTIVE 01-112321: EXTENSION OF WAIVERS, MODIFICATIONS AND DIRECTIVES DUE TO COVID-19

Pursuant to Governor Gavin Newsom’s Proclamation of a State of Emergency dated March 4, 2020, and Governor Newsom’s Executive Order N-25-20 issued on March 12, 2020, the Director of the Department of Developmental Services (Department) issued numerous Directives to regional centers waiving or modifying certain requirements of the Lanterman Developmental Disabilities Services Act, the California Early Intervention Services Act, and/or certain provisions of Title 17, Division 2 of the California Code of Regulations. Additionally, the Director of the Department issued several Directives pursuant to Welfare and Institutions (W&I) Code section 4639.6 to protect consumer rights, health, safety, or welfare, or in accordance with W&I Code section 4434.

Any waivers, modifications or directives contained in the following Directives are extended an additional 30 days from the current date of expiration, and specified sections within certain Directives are amended, as follows:

Date Issued	Directive Subject	Current Expiration Date	New Expiration Date
3/12/2020	<p><u>Department Directive on Requirements Waived due to COVID-19</u>  <u>Amendments to Directive (in order by most recent amendment)</u></p> <ul style="list-style-type: none"> <li>• <i>Section “Health and Safety Waiver Exemptions” will be deleted from the Directive, effective January 2, 2022, rescinding the delegation provided to regional center Executive Directors. Beginning January 2, 2022, any requests for rate adjustments for residential services and/or supplemental services in residential settings must be submitted to the Department through the standard health and safety waiver exemption process. (Amendment effective 1/2/2022)</i></li> <li>• <i>The following paragraph under section “Health and Safety Waiver Exemptions” is hereby amended to read: “The Director of the Department delegates to regional center Executive Directors the authority to grant rate adjustments for residential services and/or supplemental services in residential settings, consistent with Welfare and Institutions Code sections 4681.6, 4689.8 and 4691.9, to protect a consumer’s health or safety as a result of the outbreak of COVID-19. <u>Effective November 3, 2021, this delegation excludes rate adjustments for supplemental staffing in residential settings under Service Code 109. Regional centers must request the Department’s approval for</u></i></li> </ul>	12/2/2021	1/1/2022

**“Building Partnerships, Supporting Choices”**

Regional Center Executive Directors  
 November 23, 2021  
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Date Issued	Directive Subject	Current Expiration Date	New Expiration Date
	<p><i>new and ongoing rate adjustments granted under this delegation for Service Code 109, as described in the Department's August 27, 2021 correspondence, "Health and Safety Waivers due to COVID-19 State of Emergency." The waiver will require supplemental reporting to the Department. Instructions on the required supplemental reporting will be provided in a future directive. This delegation is necessary because the Department finds that high risk health conditions and fear of exposure to COVID-19 may cause consumers to forego activities away from their home resulting in a need for additional residential staffing or supplemental services. (Amendment effective 11/3/2021)</i></p> <ul style="list-style-type: none"> <li>• <i>For section "Early Start In-Person Meetings", the waiver of in-person service coordination meeting requirements is hereby rescinded. Trailer Bill AB 136, effective July 16, 2021, amended Government Code section 95020(c)(1), allowing, until June 30, 2022, individualized family service plan meetings to be held by remote electronic communications when requested by the parent or legal guardian. The waiver of in-person eligibility determination meeting requirements, as provided in this section is extended and remains effective until rescinded. (Amendment effective 7/29/2021)</i></li> <li>• <i>Effective immediately, section "Early Start Remote Services" is hereby deleted from this Directive. Trailer Bill AB 136 amended Government Code section 95020(d)(5)(A), specifying that early intervention services may be delivered by remote electronic communications. (Amendment effective 7/29/2021)</i></li> <li>• <i>Effective immediately, section "Lanterman Act In-Person Meetings" is hereby deleted from this Directive. Trailer Bill AB 136 amended Welfare and Institutions Code section 4646(f), allowing, until June 30, 2022, services and supports meetings, including individual program plan meetings, to be held by remote electronic communications when requested by the consumer or, if appropriate, the consumer's parents, legal guardian, conservator, or authorized representative. (Amendment effective 7/29/2021)</i></li> </ul>		
3/18/2020	<p><u>Department Directive on Requirements Waived due to COVID-19 and Additional Guidance</u></p> <p><u>Amendments to Directive (in order by most recent amendment)</u></p> <ul style="list-style-type: none"> <li>• <i>The following sentence under section "Day Program Services" is hereby amended to read: "<del>To protect public health and slow the rate of transmission of COVID-19, services must be provided in alignment with the guidance issued by CDPH on March 16, 2020.</del> Day program</i></li> </ul>	12/8/2021	1/7/2022

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 November 23, 2021  
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Date Issued	Directive Subject	Current Expiration Date	New Expiration Date
	<p><u>services must be provided in accordance with local county public health orders and relevant guidelines issued by the California Department of Social Services and/or California Department of Public Health.</u> (Amendment effective 5/5/2021)</p> <ul style="list-style-type: none"> <li>• The following paragraph under section "Day Program Services" is hereby amended to read: "The Department reiterates the March 12, 2020, directive to regional centers, "State of Emergency Statewide," authorizing regional centers to pay vendors for absences that are a direct result of the COVID-19 outbreak, pursuant to Title 17 section 54326(a)(11). <u>As indicated in the Department's July 17, 2020, directive, "Providing and Claiming for Nonresidential Services During the State of Emergency," retention payments to nonresidential providers for consumer absences are authorized through August 31, 2020. The Department will issue a directive outlining the structure for subsequent reimbursement of claims for providing nonresidential services using alternative service delivery approaches during the State of Emergency.</u>" (Amendment effective 8/10/2020)</li> <li>• Effective immediately, section "WIC §4731 Consumers' Rights Complaints" is hereby deleted from this Directive. The 20-working day requirement for investigating and providing a written proposed resolution to a complainant pursuant to W&amp;I Code section 4731(b) is reinstated. (Amendment effective 7/15/2020)</li> <li>• The following sentence under section "Home and Community-Based Services (HCBS) Self Assessments" is hereby amended to read: "The requested completion date for provider HCBS self-assessment has been extended to <del>June 30, 2020</del> <u>August 31, 2020.</u>" (Amendment effective 6/8/2020)</li> </ul>		
3/25/2020	<p>Department Directive 02-032520: Requirements Waived due to COVID-19  <u>Amendments to Directive (in order by most recent amendment)</u></p> <ul style="list-style-type: none"> <li>• Section "In-Home Respite Workers" will be deleted from this Directive, effective May 31, 2021. (Amendment effective 5/31/2021)</li> <li>• The following paragraph under section "In-Home Respite Workers" is hereby amended to read: "To increase available workforce and support consumers and families at home, the Department waives Title 17 section 56792(e)(3)(A) requirements for in-home respite workers to possess first aid and cardiopulmonary resuscitation training prior to employment when the consumer receiving services does not have chronic or presenting health concerns. <u>Training must be obtained within 30 days of starting work.</u>" (Amendment effective 7/15/2020)</li> </ul>	12/15/2021	1/14/2022



Regional Center Executive Directors  
 November 23, 2021  
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Date Issued	Directive Subject	Current Expiration Date	New Expiration Date
3/30/2020	<u>Department Directive 01-033020: Additional Participant-Directed Services</u>	12/20/2021	1/19/2022
4/15/2020	<p><u>Department Directive 01-041520: Requirements Waived due to COVID-19</u>  <u>Amendments to Directive (in order by most recent amendment)</u></p> <ul style="list-style-type: none"> <li>• <i>Section "Residential Facility Payments" will be deleted from this Directive, effective May 1, 2021. (Amendment effective 5/1/2021)</i></li> <li>• <i>Section "EBSH/CCH Registered Behavior Technician Certification" will be deleted from this Directive, effective January 10, 2021. (Amendment effective 1/10/2021)</i></li> <li>• <i>The following paragraph under section "Residential Facility Payments" is hereby amended to read: "The Department hereby modifies any requirements of the Lanterman Act or Title 17 regarding payments to a residential facility when a consumer is temporarily absent. If the temporary absence from the facility is for the purpose of preventing or minimizing the risk of exposure to COVID-19 and the regional center is in agreement that the absence is related to this purpose, the regional center shall continue to pay the established rate as long as no other consumer occupies the vacancy or until it is determined the consumer will not return to the facility and the facility retains and continues to pay staff during this time. Any claims made for these absences are subject to audit and review. <u>Regional centers shall report to the Department by December 15, 2020, all current approved payments for temporary absences in accordance with this section. The Department will issue a subsequent communication regarding the process and format for reporting.</u>" (Amendment effective 12/1/2020)</i></li> <li>• <i>The following paragraph under section "Vendor Fiscal Audits" is hereby amended to read: "The requirements of Article III, Section 9, paragraph (c) of the Department's regional center contract are waived for Fiscal Year 2019-20. <del>To the extent feasible, regional centers shall continue to conduct fiscal audits in accordance with this paragraph.</del>" (Amendment effective 7/15/2020)</i></li> <li>• <i>The following sentence under section "Home and Community-Based Services (HCBS) Final Rule Compliance Information" is hereby amended to read: "Regional centers shall post this information on their websites by <del>July 1, 2020</del> <u>August 31, 2020.</u>" (Amendment effective 6/8/2020)</i></li> </ul>	12/6/2021	1/5/2022

Regional Center Executive Directors  
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Date Issued	Directive Subject	Current Expiration Date	New Expiration Date
6/15/2020	<u>Department Directive 01-061520: Extension of Early Start Services</u>	12/7/2021	1/6/2022
10/2/2020	<u>Department Directive 01-100220: Waiver of Half-Day Billing Requirements for Day Services</u>	11/26/2021	12/26/2021
11/19/2020	<u>Department Directive 01-111920: Waiver of Self-Determination Program Budget Restrictions for Financial Management Services</u>	12/14/2021	1/13/2022

The extension of time for these Directives continues to be necessary to protect public health or safety and to ensure delivery of services.

All COVID-19 related directives and guidance issued by the Department can be found at: [www.dds.ca.gov/corona-virus-information-and-resources](http://www.dds.ca.gov/corona-virus-information-and-resources).

If you have questions regarding this Directive, please email [DDSC19Directives@dds.ca.gov](mailto:DDSC19Directives@dds.ca.gov).

Sincerely,

*Original Signed by:*

NANCY BARGMANN  
 Director

cc: Regional Center Board Presidents  
 Regional Center Administrators  
 Regional Center Directors of Consumer Services  
 Regional Center Community Services Directors  
 Association of Regional Center Agencies

# Governor Newsom Announces Judicial Appointments 11.29.21

Published: Nov 29, 2021

SACRAMENTO – Governor Gavin Newsom today announced his nomination of Judge Laurie M. Earl as Associate Justice of the Third District Court of Appeal. The Governor also announced his appointment of 11 Superior Court Judges, which include one in Alameda County; one in Kings County; three in Los Angeles County; one in Merced County; one in Modoc County; one in Nevada County; two in Sacramento County; and one in Tulare County.

## Third District Court of Appeal



Judge Laurie M. Earl, 60, of Sacramento, has been nominated to serve as an Associate Justice of the Third District Court of Appeal. She has served as a Judge in the Sacramento County Superior Court since 2005. Judge Earl was Senior Assistant Inspector General at the Sacramento County Office of Inspector General from 2004 to 2005 and a Deputy District Attorney at the Sacramento County District Attorney's Office from 1995 to 2004. She served as an Assistant Public Defender at the Sacramento County Public Defender's Office from 1989 to 1995. Judge Earl earned a Juris Doctor degree from the Lincoln Law School of Sacramento. She fills the vacancy created by the retirement of Justice M. Kathleen Butz. This position requires the completion of a review by the State Bar's Commission on Judicial Nominees Evaluation and confirmation by the Commission on Judicial Appointments. The Commission consists of Chief Justice Tani Cantil-Sakauye, Attorney General Rob Bonta and Senior Presiding Justice Vance W. Raye. Judge Earl is a Democrat.

The compensation for this position is \$256,138.

## Alameda County Superior Court



Peter E. Borkon, 50, of San Francisco, has been appointed to serve as a Judge in the Alameda County Superior Court. Borkon has been a Partner at Bleichmar Fonti & Auld LLP since 2019. He was a Partner and Associate at Hagens Berman Sobol Shapiro LLP from 2007 to 2018 and an Associate at Schubert & Reed LLP from 2005 to 2007. Borkon was an Adjunct Professor at the University of California, Hastings College of the Law from 2006 to 2009 and Principal at Cotchett, Pitre, Simon & McCarthy from 2001 to 2005. He served as Senior Motions Attorney at the U.S. Court of Appeals for the Ninth Circuit from 1999 to 2001, where he was a Civil Research Attorney from 1998 to 1999. Borkon served as a Law Clerk for the Honorable J. Phil Gilbert at the U.S. District Court for the Southern District of Illinois from 1996 to 1998. He earned a Juris Doctor degree from Southern Illinois University Law School. He fills the vacancy created by the retirement of Judge Patrick J. Zika. Borkon is registered without party preference.

## Kings County Superior Court



Melissa R. D'Morias, 36, of Hanford, has been appointed to serve as a Judge in the Kings County Superior Court. D'Morias has served as a Deputy District Attorney for the Kings County District Attorney's Office since 2013. She was an Attorney at Fresno Child Advocates from 2011 to 2013. She earned a Juris Doctor degree from Whittier Law School. She fills the vacancy of a new position created on September 24, 2019. D'Morias is a Democrat.

#### **Los Angeles County Superior Court**



Donald A. Buddle Jr., 42, of Santa Clarita, has been appointed to serve as a Judge in the Los Angeles County Superior Court. Buddle has served as a Deputy Public Defender at the Los Angeles County Public Defender's Office since 2007. He earned a Juris Doctor degree from Whittier Law School. He fills the vacancy created by the retirement of Judge Michael A. Tynan. Buddle is a Democrat.



Edwin P. Chau, 64, of Arcadia, has been appointed to serve as a Judge in the Los Angeles County Superior Court. Chau has served as a State Assemblymember representing the 49th Assembly District since his election in 2012. He was a Sole Practitioner from 1994 to 2012 and served as a Montebello Unified School District Board Member from 2000 to 2012. Chau earned a Juris Doctor degree from the Southwestern University School of Law. He fills the vacancy created by the retirement of Judge Robert J. Perry. Chau is a Democrat.





Patricia A. Young, 42, of Los Angeles, has been appointed to serve as a Judge in the Los Angeles County Superior Court. Young has been a Supervising Deputy Federal Public Defender at the Office of the Federal Public Defender, Central District of California since 2014, where she has served as a Deputy Federal Public Defender since 2009. Young was an Associate at Latham & Watkins LLP from 2005 to 2009. She served as a Law Clerk for the Honorable Keith P. Ellison at the U.S. District Court for the Southern District of Texas from 2004 to 2005. Young earned a Juris Doctor degree from Stanford Law School. She fills the vacancy created by the retirement of Judge Ramona G. See. Young is a Democrat.

#### **Merced County Superior Court**



Stephanie L. Jamieson, 37, of Patterson, has been appointed to serve as a Judge in the Merced County Superior Court. Jamieson has served as a Deputy Public Defender at the Merced County Public Defender's Office since 2013. She was a Sole Practitioner from 2011 to 2012 and an Independent contractor at the Law Office of Victor Vertner from 2011 to 2012. Jamieson earned a Master of Laws degree from the California Western School of Law and a Juris Doctor degree from the Santa Clara University School of Law. She fills the vacancy of a new position created on September 24, 2019. Jamieson is a Democrat.

#### **Modoc County Superior Court**



Wendy J. Dier, 53, of Alturas, has been appointed to serve as a Judge in the Modoc County Superior Court. Dier has been a Sole Practitioner since 1995 and has worked on contract in various positions, including with the Modoc County Superior Court, Modoc County District Attorney's Office and Modoc County Department of Social Services. She earned a Juris Doctor degree from the University of Oregon School of Law. Dier fills the vacancy created by the retirement of Judge David A. Mason. She is a Democrat.

#### **Nevada County Superior Court**



Judge Yvette Durant, 56, of Truckee, has been appointed to serve as a Judge in the Nevada County Superior Court. She has served as a Judge in the Sierra County Superior Court since 2016. Judge Durant served as a Commissioner at the Nevada County Superior Court from 2011 to 2016. She was a Sole Practitioner from 1999 to 2011 and an Associate at Tonon and Associates in 1999. Judge Durant was an Associate at Ashbaugh Beal from 1991 to 1993. She earned a Juris Doctor degree from the University of Washington School of Law. She fills the vacancy created by the retirement of Judge Robert L. Tamietti. Judge Durant is registered without party preference.

#### **Sacramento County Superior Court**



Andre K. Campbell, 61, of Carmichael, has been appointed to serve as a Judge in the Sacramento County Superior Court. Campbell has been a Partner at Hanson Bridgett LLP since 2010. He was an Associate and Partner at McDonough, Holland & Allen PC from 1996 to 2010. Campbell served as a commissioned officer in the Air Force from 1981 to 1996, attaining the rank of Major. He earned a Juris Doctor degree from Loyola Law School and a Master of Science degree from Rensselaer Polytechnic Institute. He fills the vacancy created by the retirement of Judge Jamie R. Roman. Campbell is a Democrat.



Allison M. Williams, 40, of Elk Grove, has been appointed to serve as a Judge in the Sacramento County Superior Court. Williams has served as a Criminal Attorney at the Sacramento County Public Defender's Office since 2006. She was an Adjunct Lecturer at the University of the Pacific, McGeorge School of Law from 2011 to 2012. Williams earned a Juris Doctor degree from the Western Michigan University Thomas M. Cooley Law School. She will fill the vacancy created by the retirement of Judge Emily Vasquez effective January 26, 2022. Williams is a Democrat.

#### **Tulare County Superior Court**





Sylvia J. Hanna, 44, of Tulare, has been appointed to serve as a Judge in the Tulare County Superior Court. Hanna has served as Chief Deputy Public Defender at the Tulare County Office of the Public Defender since 2019, where she has held several positions since 2004, including Senior Attorney and Supervising Attorney. She served as a Law Clerk at the Fresno County Public Defender's Office in 2003. Hanna earned a Juris Doctor degree from Notre Dame Law School. She fills the vacancy created by the retirement of Judge Kathryn T. Montejano. Hanna is a Democrat.

The compensation for each of these positions is \$223,829.

###

The Department of Developmental Services invites you to join:

## Group Homes for Children with Special Health Care Needs (GHCSHN):

*An introduction and orientation*

### When:

Monday December 13, 2021

01:00 PM — 2:30 PM

Zoom

### Please note:

We have limited capacity, please register ASAP

### Registration link:

[https://cal-dds.zoom.us/meeting/register/tJYpfu6vqj4vH9Fr9RAth2BGkH-lnOrSR4\\_p](https://cal-dds.zoom.us/meeting/register/tJYpfu6vqj4vH9Fr9RAth2BGkH-lnOrSR4_p)

This orientation is for Regional Center personnel, providers, and anyone interested in learning more about this home type.

For questions regarding our upcoming orientation, please email Alison Giannini at [Alison.Giannini@dds.ca.gov](mailto:Alison.Giannini@dds.ca.gov).

“Building Partnerships, Supporting Choices”



VILLA ESPERANZA SERVICES

Where Hope is Hard at Work for Individuals with Intellectual/Developmental Disabilities

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 William S. Waller  
 Patty Zuber

September 15, 2021

Mr. Anthony Hill  
San Gabriel/Pomona Regional Center  
75 Rancho Camino  
Pomona, CA 91766-4728

Dear Mr. Hill,

On behalf of the Villa family, we wish to thank you for your in-kind donation on 09/10/2021 of PPE: 1 case face shields, 2 cases N95 masks, 12 boxes large gloves, and 12 boxes medium gloves. In-kind contributions are incredibly important to Villa. Your donation of these goods and/or services helps us to defray our expenses, which allows more of our funds to go towards providing direct care services for our children, adults, and seniors with intellectual/developmental disabilities. Please know your gift is greatly appreciated and needed now more than ever as we navigate these turbulent times.

Villa's first priority is the safety and well-being of all our participants and staff. As the COVID-19 situation develops, we want to share how we are caring for our Villa community. We have temporarily closed all our school programs and adult day programs. Teachers are working from home and providing learning materials for students at home. We are reaching out to all of our Villa families, checking in to see how they are doing.

We are providing 24 hour care to all our adults living in our seven residential homes. In addition, Villa continues to provide services for clients in our Independent Living Skills programs, whether it's via phone or video conferencing. With every day bringing a new challenge, we will continue to do our best to safeguard the health of our clients and staff while still providing the support required by our individuals with special needs.

Villa is preparing for the moment we can welcome back all our students, adults, and staff. We understand times are difficult for everyone and we thank you for your continued commitment and generosity. Thank you for helping ensure that Villa can continue caring for our children, adults, and seniors and their families when they need us the most.

Sincerely,

Peter Vaughn  
Chair, Board of Directors

Kelly L. White, MA, LMFT  
Chief Executive Officer

Gift Acknowledgment Information:

Villa Esperanza Services is a 501(c) 3 nonprofit organization (Tax ID #95-2148860). It is the donor's responsibility to value the above gift(s). For tax purposes, we acknowledge that you received no goods or services in exchange for this gift. Please save this letter for your tax records.

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The Department must issue funding guidelines regarding the selection of community navigator programs and the conditions under which the funding shall be used consistent with the requirements of this section. The guidelines will be exempt from the rulemaking process of Government Code, Title 2, Division 3, Part 1, Chapter 3.5 (commencing with Section 11340).

A family resource center receiving funding for the purpose of the community navigator program must report to the Department in a manner and within a time period determined and outlined in guidance by the Department on how the funding allocations were used and shall include recommendations of priorities for activities that may be effective in addressing disparities, including removing barriers to accessing and utilizing generic and regional center services. The Department must post these reports on its website by November 1, 2022.

*Implementation: The Department will continue to meet with stakeholders to obtain input on the development of funding guidelines for the community navigator programs. The funding guidelines will be issued in Fall 2021.*

**Paid Internship Program (PIP) and Competitive Integrated Employment (CIE)  
Incentive Payments**

**TBL Section 52:** Section 4870 was amended to make the following changes to the PIP requirements:

- Deleted language that capped payments for internships at ten thousand four hundred dollars (\$10,400) and added language that states internships may not exceed 1,040 hours per year for each individual.
- Payments for internship hours under this program shall include all required employer-related costs.
- Individuals participating in an internship shall be paid at or above minimum wage and equal to the customary wage paid by the employer for the same or similar work performed by individuals who do not have disabilities.
- A payment of seven hundred fifty dollars (\$750) shall be made to the regional center service provider if both of the following apply:
  1. On or after July 1, 2021, the service provider places an individual in a paid internship opportunity.
  2. That individual remains in the paid internship after 30 consecutive days.
- An additional payment of one thousand dollars (\$1,000) shall be made to the service provider for an individual described above who remains in the paid internship for 60 consecutive days.



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Section 4870 was amended to state, effective July 1, 2021, until June 30, 2025, the CIE incentive payments for each milestone shall be as follows:

- A payment of two thousand dollars (\$2,000) if the individual is still engaged in competitive employment after 30 consecutive days, as described in Section 4851(o) and Section 4868(d).
- An additional payment of two thousand five hundred dollars (\$2,500) if the individual remains in competitive integrated employment for six consecutive months.
- An additional payment of three thousand dollars (\$3,000), if the individual remains in competitive integrated employment for 12 consecutive months.

*Implementation:* The Department sent guidelines to regional centers on August 24, 2021, outlining the changes to the PIP and CIE incentive payments initiatives. See Enclosures D and E.

#### **Increase Pathways to CIE**

TBL Section 53: Section 4870.1 was added, requiring the Department to establish, by December 31, 2021, a program to increase pathways to CIE that shall meet both of the following requirements:

1. Be developed in consultation with stakeholders. Consultation with stakeholders must commence no later than September 30, 2021, and include individuals with disabilities, including those served by the program, family members, employers and provider association representatives, consumer advocates, disability provider representatives, including regional centers, members of the Developmental Services Task Force, and legislative staff.
2. Provide contracts or grants for entities to develop and implement innovative and replicable strategies and practices to increase paid work experiences and employment opportunities for regional center consumers.

The Department must include in its annual May Revision fiscal estimate a description of the implementation of the program including, but not limited to, all of the following:

1. A description of the stakeholder consultation.
2. Data about the number and start date of funded grants or contracts.
3. The number of enrolled participants.
4. The number of employed participants.
5. Any recommendations for program changes that may be necessary or desirable to maximize program effectiveness.

Notwithstanding the rulemaking provisions of the Administrative Procedure Act (Government Code, Title 2, Division 3, Part 1, Chapter 3.5, commencing with Section

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11340), the Department may implement, interpret, or make specific this section by means of written directives or similar instructions, without taking any regulatory action.

*Implementation: The Department will work with stakeholders and the Department of Rehabilitation on the development of the program to increase pathways to CIE.*

#### **Self-Determination Program (SDP)**

**TBL Section 47:** Section 4685.8(a) was amended to end the phase-in period and provide that as of July 1, 2021, the program will begin to be available, on a voluntary basis, to all regional center consumers who are eligible for the SDP.

Section 4685.8(b) was amended to require the Department to address the following:

1. Choice of independent facilitators who meet standards and certification requirements established by the Department, and who can assist with the functions specified in Section 4685.8(c)(2).
2. Choice of financial management services (FMS) providers who meet standards and certification requirements established by the Department, and who can carry out the functions specified in Section 4685.8(c)(1).
3. Long-term sustainability of the SDP by doing all of the following:
  1. Requiring IPP teams, when developing the individual budget, to determine the services, supports and goods necessary for each consumer based on the needs and preferences of the consumer, and when appropriate the consumer's family, and the effectiveness of each option in meeting the goals specified in the individual program plan, and the cost effectiveness of each option, as specified in Section 4648(a)(6)(D).
  2. The Department may review final individual budgets that are at or above a spending threshold determined by the Department of all individual budgets and use information from its review in the aggregate to develop additional program guidance and verify compliance with federal and state laws and other requirements.

Section 4685.8(c)(7) was added to define "Spending Plan" consistent with the Department's January 11, 2019 correspondence regarding individual budget development and spending plans (Enclosure F). It is defined as "the plan the participant develops to use their available individual budget funds to purchase goods, services, and supports necessary to implement their individual program plan (IPP). The spending plan shall identify the cost of each good, service, and support that will be purchased with regional center funds. The total amount of the spending plan cannot exceed the amount of the individual budget. A copy of the spending plan shall be attached to the participant's IPP."



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Section 4685.8(f) was amended to reflect different requirements for use of the additional federal participation funds generated by the former participants in the self-determination pilot projects. The additional uses for the funds include increasing service access and equity, and reducing disparities, and to support the Statewide Self-Determination Advisory Committee.

Section 4685.8(d)(3)(G) added that a Medi-Cal eligible participant agrees, with regional center assistance, if needed, to timely apply for Medi-Cal in order to maximize federal funding. The participant may consider institutional deeming in order to qualify for Medi-Cal services.

Section 4685.8(m) was changed in the following ways:

1. Section 4685.8(m)(1)(A)(ii)(I) was amended to state that when an individual budget of a current regional center consumer who has 12 months of purchase of service expenditures is adjusted due to a change in circumstance, resources, needs, or due to prior unmet needs or resources, the IPP team must document the specific reason for the adjustment in the IPP.
2. Section 4685.8(m)(1)(B)(ii) was amended to state that for an individual budget of a newly eligible consumer or a consumer who does not have 12 months of purchase of service expenditures, the IPP team must document the specific reason for an adjustment in the IPP.
3. Section 4685.8(m)(3) was amended to specify that the spending plan rather than the individual budget shall be assigned to uniform budget categories developed by the Department in consultation with stakeholders.

Section 4685.8(r) was amended to require regional centers to do all of the following:

1. Meet the Self-Determination targets approved by the Department; meet benchmarks established by the Department in areas including timely enrollment, diversity of consumers served, and reduction of disparities in the individual budget of participants from racial and ethnic communities; and be eligible for incentives for exceeding these targets and benchmarks once the Department has established a performance incentives program.
2. Develop and implement an outreach and training plan about the SDP for the diverse communities served by the regional center, including in congregate settings. Information shall be provided in plain language, in alternative formats and alternative modes of communication and provide language access as required by state and federal law. Obtain input from stakeholders, including consumers and families that reflect the ethnic and language diversity of the

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- regional center's consumers, about the effectiveness of this outreach and training and other activities that may be effective in reducing disparities in these programs.
3. Annually report the enrollment, individual budget data, and purchase of service expenditure data for the SDP consistent with the criteria in Section 4519.5(a) through (c).
  4. Assist eligible participants and their families in applying for Medi-Cal, in order to maximize federal funding and assist interested participants who wish to pursue institutional deeming in order to qualify for Medi-Cal services.
  5. At least annually, in addition to annual certification, conduct an additional review of all final individual budgets for participants at the regional center which are at or above a spending threshold that is specified by the Department through directive consistent with federal and state requirements. This information may be used in the aggregate to provide training, program guidance, and verify compliance with state and federal requirements.
  6. Review the spending plan to verify that goods and services are eligible for federal financial participation and are not used to fund goods or services available through generic agencies.
  7. Train all service coordinators and fair hearing specialists in the principles of self-determination, the mechanics of the SDP, and the rights of consumers and families. The training shall be conducted in collaboration with the local volunteer advisory committee (LVAC).
  8. Provide payment to the FMS provider for spending plan expenses through a not less than semi-monthly pay schedule.

Section 4685.8(v)(1) was amended to require:

1. Each regional center to identify a regional center liaison to the LVAC.
2. The LVAC include a representative from a Family Resource Center.
3. Each regional center to confirm, in writing, that the committee meets the requirements specified in this paragraph and provide the Department with the name of the staff liaison and the names of the committee members, the positions they fill on the committee, and which entity appointed them to the committee.

*Implementation: The Department sent correspondence to regional centers on July 7, 2021, regarding the statewide availability of the SDP (Enclosure G) and will continue to work with regional centers to capture enrollment and other information needed for the required reporting to the Legislature. The following are additional actions that will be taken to implement some of the provisions in this section:*

- *The Department will meet with stakeholders to obtain input on the development of standards and certification requirements for independent facilitators and FMS*

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*providers, and will issue correspondence to regional centers with these requirements. Additionally, the Department plans to promulgate regulations to implement the FMS requirements. Regional centers must establish schedules for which payments are made to FMS providers at least two times per month, between the 1<sup>st</sup> through the 15<sup>th</sup> of each month, and from the 16<sup>th</sup> through the end of the month.*

- *The Department will provide regional centers with information regarding spending thresholds for individual budgets by December 31, 2021.*
- *With the funds remaining after the offset for criminal background checks, the Department plans to allocate a portion of these funds to the Statewide Self-Determination Advisory Committee and a portion will be allocated to regional centers for operations costs and to the regional centers and their LVACs to implement the program. The Department will issue separate information regarding the allocation and information about how regional centers and their LVACs may use the funds given the additional statutory priorities.*
- *The Department will meet with stakeholders to obtain input on the SDP targets and benchmarks and will issue a directive to regional centers when established.*
- *The Department will issue a directive to regional centers regarding the role and responsibilities of Participant Choice Specialists.*
- *The Department will provide information to regional centers on reporting the committee composition, and the name of the regional center staff liaison and will provide technical assistance upon request. Regional centers and the State Council on Developmental Disabilities should work with their local Family Resource Centers to identify an additional LVAC member. The addition of this representative shall increase the size of the LVAC by one member and shall not reduce the number of consumer and family members otherwise appointed to the advisory committee. Consumers and family members must continue to be a majority of the advisory committee.*
- *The Department will provide regional centers with additional information and guidance to implement the provisions of this section, as necessary.*

#### **Office of the SDP Ombudsperson**

**TBL Section 48:** Section 4685.9 was added, requiring the Department to establish an Office of the SDP Ombudsperson. The Office of the SDP Ombudsperson shall be headed by an individual, to be known as the SDP Ombudsperson. As soon as is practicable, the Director of the Department shall appoint an ombudsperson qualified by training and experience to perform the duties of the office for a term of four years. The Director may reappoint the ombudsperson for consecutive terms.

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The Office shall be an independent and autonomous entity within the Department for the purpose of monitoring the implementation of Section 4685.8 and to assist regional center consumers who participate in the SDP, as well as consumers who do not, and their families to participate fully in the SDP. The Office shall have all of the following rights and duties:

1. Providing information and assisting regional center consumers and their families in understanding their rights under the SDP, including the process, goals, and objectives of the program and facilitating solutions to disagreements regarding eligibility and services.
2. Deciding whether to investigate complaints regarding the implementation of the SDP, and recommending to the Department strategies for change and improvement of the SDP. Disclosure of information shall occur only as necessary to carry out the mission of the Office and as permitted by law.
3. Annually compiling and reporting to the appropriate policy and fiscal committees of the Legislature relevant data collected over the course of the year, including, but not limited to, the number of contacts to the Office, the number of complaints made, including the type of those complaints, the number of investigations performed by the Office, the trends and issues that arose in the course of investigating complaints, the number of referrals made, and the number of pending complaints.
4. Recommending to the Department and the Legislature changes to, including, but not limited to, relevant laws, regulations, policies, and actions that it determines to be appropriate and provide and facilitate public comment on, including, but not limited to, relevant laws, regulations, policies, and actions.
5. Establishing a dedicated telephone number at which regional center consumers and other stakeholders may contact the Office.

The Department shall include, on the portion of its website dedicated to the SDP, a link to the website of the Office.

*Implementation: The Department is in the process of setting up and staffing the Office of the SDP Ombudsperson. An Acting Ombudsperson was appointed on October 12, 2021, to address SDP questions and concerns. The website for the Office with information about its goals and responsibilities, contact information and a contact form can be found here: [www.dds.ca.gov/initiatives/sdp/office-of-the-self-determination-ombudsperson](http://www.dds.ca.gov/initiatives/sdp/office-of-the-self-determination-ombudsperson).*



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### **Rate Reform and Quality Incentive Program**

**TBL Section 25:** Section 4519.10 was added, specifying the timeline for implementation of rate increases beginning in Fiscal Year 2021-22, which will include a quality incentive program, create an enhanced person-centered, outcomes-based system, and be completed by July 1, 2025. Pursuant to Section 4519.10(c)(1)(A) through (D):

- Commencing April 1, 2022, the Department must implement a rate increase for service providers that equals one-quarter of the difference between current rates and the fully-funded rate model for each provider.
- Commencing in Fiscal Year 2022-23, additional funding shall be available for the quality incentive program described in Section 4519.10(e).
- Commencing July 1, 2023, and continuing through the Fiscal Year 2024-25, the Department must adjust rates to equal one-half of the difference between rates in effect March 31, 2022, and the fully-funded rate model for each provider, and additional funding shall be available for the quality incentive program described in Section 4519.10(e).
- Commencing July 1, 2025, the Department must implement the fully-funded rate models using two payment components, a base rate equaling 90 percent of the rate model, and a quality incentive payment, equaling up to 10 percent of the rate model, to be implemented through the quality incentive program described in Section 4519.10(e).

Effective July 1, 2025, it is the intent of the Legislature that rates be uniform within service categories and adjusted for geographic cost differentials, including differentials in wages, the cost of travel, and the cost of real estate. Providers who were not identified as requiring a rate increase in the rate study are not eligible for rate adjustments pursuant to 4519.10(c)(1).

Section 4519.10(d)(1) specifies that the Department must implement a hold harmless policy for providers whose rates exceed rate model recommendations. The policy will freeze a provider's existing rates until June 30, 2026, after which time the provider's rates shall be adjusted to equal the rates for other providers in the provider's service category and region. Notwithstanding Section 4519.10(d)(1), the Department may adjust rates as a result of reviews or audits.

Section 4519.10(e) requires the Department, in conjunction with implementing rate reform, to implement a quality incentive program in order to improve consumer outcomes, service provider performance, and the quality of services. The Department must, with input from stakeholders develop quality measures or benchmarks, or both, for consumer outcomes and regional center and service provider performance. Measures or benchmarks, or both, will initially include process- and performance-related

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measures for service providers and, by the conclusion of Fiscal Year 2025-26, will also evolve to include outcome measures at the individual consumer level. In developing the proposed measures or benchmarks, or both, the Department must do the following:

1. Gather public input through regularly held public meetings that are accessible both virtually and by telephone. Public meeting agendas and meeting materials must be posted at least three days in advance of any meeting and shared by various means, including website updates, focus groups, and other communication.
2. Provide documents, which may include, but are not limited to, updates, concept papers, interim reports, proposals, and performance and quality measures and benchmarks, and revisions to these materials, to the Legislature and post these materials on a website for public comment at least 30 days, as required by the Centers for Medicare and Medicaid Services prior to submitting a request for federal funding.
3. Seek input from subject matter experts to understand options for outcomes-based system structures using person-centered planning and alternative payment models.

On or before April 1, 2022, proposed quality measures or benchmarks, or both, must be provided to the Legislature and posted for public comment, as described above. After the Department has considered public comments and modified the proposed quality measures or benchmarks, or both, as needed, the measures or benchmarks, or both, will be finalized and implemented in Fiscal Year 2022-23.

On or before April 1 of any subsequent year in which the Department proposes new or revised quality measures or benchmarks, or both, the proposed measures or benchmarks, or both, must be provided to the Legislature and posted for public comment, as described above. After the Department has considered public comments and modified the proposed quality measures or benchmarks, or both, as needed, the measures or benchmarks, or both, shall be finalized and implemented in the upcoming fiscal year.

The Department must develop a quality incentive payment structure for providers meeting the quality measures or benchmarks, or both. The Department must issue written directives to define the way quality incentive payments will be made to service providers based on quality measures or benchmarks, or both, developed and implemented under this subdivision.

On or before March 1, 2022, the Department must provide a status update to the Legislature regarding progress toward implementing rate reform and creating an



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enhanced person-centered, outcomes-based system. The status update may include, but is not limited to, information about all of the following:

1. Additional changes that may be necessary to effectively implement rate reform, including adding and amending statutes, regulations, and other departmental policies.
2. Compliance with rules of the federal Medicaid program, including the home- and community-based services final rule effective on March 17, 2014, and state compliance consistent with the current federal guidance, including all of the following:
  - a. A definition of what it means to be compliant with the rules of the federal Medicaid program.
  - b. Whether there are certain service categories that are unlikely to achieve compliance due to the structure of the service, and, if so, which categories this includes.
  - c. Data about the total number of providers within each service category and the estimated number of providers that have not yet achieved compliance.
3. Program and system improvement efforts made as a result of the state's home- and community-based services additional federal funding, including the one-time investment implemented beginning in Fiscal Year 2021-22, including a description of how the Department will build on the investments.

For purposes of this section, "rate model" means a rate model included in the rate study submitted to the Legislature pursuant to Section 4519.8.

Notwithstanding the rulemaking provisions of the Administrative Procedure Act (Government Code, Title 2, Division 3, Part 1, Chapter 3.5, commencing with Section 11340), the Department may implement, interpret, or make specific this section by means of written directives or similar instructions through July 1, 2025.

Implementation of Section 4519.10 is contingent upon the approval of federal funding.

*Implementation: The trailer bill language added to Section 4519.10 is a result of the rate study the Department submitted to the Legislature in March 2019, as required by Section 4519.8. Information on the rate study is posted on the Department's website at [www.dds.ca.gov/rc/vendor-provider/rate-study](http://www.dds.ca.gov/rc/vendor-provider/rate-study). To assist with implementation efforts, the Department will work closely with consultants to calculate the rate adjustments for applicable providers. Additionally, the Department plans to begin working with stakeholders in November 2021 to implement a quality incentive program, including the identification of quality measures and/or benchmarks. The Department will provide ongoing updates on its website and send regional centers correspondence regarding implementation, as needed.*

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### **Performance Improvement Indicators**

TBL Section 27: Section 4620.5 was added to state that beginning as early as possible after July 1, 2021, but no later than September 1, 2021, the Department must convene a workgroup composed of the individuals described below, to make recommendations to the Department for the development of standard performance improvement indicators and benchmarks to incentivize high-quality regional center operations. The Director of the Department must appoint members to the stakeholder group and shall consider all of the following individuals to serve as members of that group:

1. Individuals or consultants with expertise in developing performance indicators and incentive programs within developmental disability systems or community-based long-term services and supports systems.
2. Consumers and families across different geographic regions of the state, who have diverse racial and ethnic backgrounds, diverse consumer age groups, and disabilities.
3. Regional center representatives.
4. Service providers.
5. Representatives of other state agencies or entities with whom the Department routinely collaborates for the coordination of services for people with developmental disabilities, and who additionally have expertise in setting or reporting indicators and benchmarks, including reporting to the federal Centers for Medicare and Medicaid Services.
6. Representatives of California's University Centers for Excellence in Developmental Disabilities, the State Council on Developmental Disabilities, the protection and advocacy agency specified in Division 4.7 (commencing with Section 4900), and consumer and family advocacy groups.

By January 10, 2022, as part of the Governor's Budget, the Department must provide a status update based on recommendations provided by the stakeholder workgroup, with an additional status update at the time of the Governor's May Revision. These recommendations may include all of the following:

1. Priority areas for performance indicators and benchmarks, including, but not limited to, all of the following:
  - a. Equity in service access and purchase of services
  - b. Consumer employment and associated metrics
  - c. Integration of consumers in the community
  - d. Person-centered planning
  - e. Compliance with federal home and community-based standards
  - f. Consumer and family experience and satisfaction
  - g. Innovation in service availability and delivery

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2. Surveys or other measures to assess consumer and family experience, satisfaction, and recommendations, in addition the use of data available through the National Core Indicators.
3. Benchmarks, and a method for establishing benchmarks, to create meaningful comparisons and understanding of variation in performance within and between regional centers.
4. Measures under development or already implemented by federal funding agencies for long-term services and supports, home and community-based services, incentive payments, required reporting, and the efficient and effective implementation of performance improvement systems.
5. Additional criteria for demonstrating performance improvement, including improvement beyond benchmarks.
6. The methodology, structure, and types of incentives to be used, including, if appropriate, a payment schedule and implementation timeline, for incentive payments to regional centers to achieve or exceed performance benchmarks. This methodology and structure shall include how the Department shall take into consideration variations among regional centers, expectations for regional center community engagement activities, and any significant demographic, including economic or other differences, impacting a regional center's performance and how the Department might build the identified benchmarks into regional center performance contracts.
7. A process, based on the input from regional centers and other stakeholders, the Department shall use on at least an annual basis to evaluate the success of a quality improvement process, including any incentive payment program.

*Implementation: The first workgroup meeting was held on September 22, 2021. The Department will continue to work with the workgroup through a public process of stakeholder engagement to identify performance improvement indicators and benchmarks in the identified priority areas. The Department will consult with and update regional centers throughout the process of developing the improvement indicators and benchmarks.*

#### **Quarterly Legislative Briefings**

**TBL Section 17:** Section 4474.17(b) was amended to require the Department to provide in its quarterly briefings with legislative staff, information on the following additional topics:

- Status on the development of Group Homes for Children with Special Health Care Needs, including information on how the needs of regional center consumers are assessed when developing new homes.

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- Status on the implementation of the provisional eligibility requirement of Section 4512(a)(2).
- Information pursuant to the provisions of Section 7505(c).
- Status on the development of a training curriculum for direct service professionals, pursuant to Section 4511.5.
- Most recent data regarding average per capita purchase of service expenditures for all age groups, by ethnicity and other factors, in addition to any other data that will aid in the illustration of progress, toward the active closure of racial, ethnic, and other disparities.

Consumers, family members or providers should contact their local regional center with any questions. Regional center questions may be directed to me at (916) 654-1897 or [brian.winfield@dds.ca.gov](mailto:brian.winfield@dds.ca.gov).

Sincerely,

*Original Signed by:*

BRIAN WINFIELD  
Chief Deputy Director

Enclosures

cc: Regional Center Board Members via Board Presidents  
Regional Center Administrators  
Regional Center Community Services Directors  
Regional Center Directors of Consumer Services  
Association of Regional Center Agencies



**DEPARTMENT OF DEVELOPMENTAL SERVICES**

1215 O Street, MS 9-60  
Sacramento, CA 95814  
TTY: 711  
(916) 654-1897



October 25, 2021

TO: REGIONAL CENTER EXECUTIVE DIRECTORS  
SUBJECT: COVID-19 VACCINE BOOSTER SHOT UPDATE

Late last week, the federal government issued authorization and recommendations for both the Moderna and Johnson & Johnson vaccines to be used as booster shots. A booster shot is an additional, usually lower, dose of a vaccine given to an individual who already is fully vaccinated. This announcement follows September 2021 authorization for a Pfizer-BioNTech COVID-19 booster shot. As a result, all three vaccines approved for use in the United States are available as a booster shot to any eligible individual, regardless of the brand of that individual's initial vaccination.

Consistent with the September 2021 announcement regarding the Pfizer booster shot, only certain people are eligible to receive a booster shot under the federal guidance, with certain terms further defined at the hyperlinks below [**emphasis** added]:

"For individuals who received a Pfizer-BioNTech or Moderna COVID-19 vaccine, the following groups are eligible for a booster shot at 6 months or more after their initial series:

- 65 years and older
- Age 18+ who live in long-term care settings
- Age 18+ who have underlying medical conditions
- Age 18+ who work or live in high-risk settings

For individuals who received the Johnson & Johnson COVID-19 vaccine, booster shots are also recommended for those who are 18 and older and who were vaccinated two or more months ago."

Additionally, on Friday, October 22, 2021, the Western States Scientific Safety Review Workgroup (Workgroup) made an announcement, which guides California's implementation of booster shots. The Workgroup's announcement indicated that for individuals previously receiving a Moderna vaccination [**emphasis** added]:

"1. Among recipients of the Moderna COVID-19 vaccine, those who should receive a booster dose of COVID-19 vaccine include those at increased risk for hospitalization and severe disease, including those:

- Age 65 years and older
- Age 18 years and older residing in a long-term care facility, or
- Age 50 through 64 years with underlying medical conditions or at increased risk of social inequities. [DDS note: "social inequities" is not defined. It typically refers to inequitable access to, or services from, social services and supports.]

**"Building Partnerships, Supporting Choices"**

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2. Among recipients of the Moderna COVID-19 vaccine, those who may receive a booster dose of COVID-19 vaccine include persons:

- Age 18 through 49 years with underlying medical conditions or at increased risk of social inequities.
- Age 18 through 64 years who are risk for SARS-CoV-2 exposure and transmission because of occupational or institutional setting."

The Workgroup also stated that anyone age 18 and older previously receiving the Johnson & Johnson vaccine should obtain a booster shot of any brand.

Please note that based upon the guidance above, booster shots are NOT required, although they are proven to increase protection from COVID-19 and its variants, and therefore are recommended or available. Additionally, the September 28, 2021 state public health order mandating vaccination for specified workers does NOT include a mandate for a booster shot, since booster shots themselves are recommended or available but not required.

We will continue to keep you updated as more information becomes available.

Sincerely,

*Original signed by:*

PETE CERVINKA  
Chief, Data Analytics and Strategy

cc: Regional Center Board Presidents  
Regional Center Administrators  
Regional Center Directors of Consumer Services  
Regional Center Community Services Directors  
Association of Regional Center Agencies



DEPARTMENT OF DEVELOPMENTAL SERVICES

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November 3, 2021

TO: REGIONAL CENTER EXECUTIVE DIRECTORS

SUBJECT: COVID-19 VACCINES FOR CHILDREN

Yesterday, the federal Centers for Disease Control and Prevention (CDC) issued authorization and recommendations for the Pfizer-BioNTech COVID-19 vaccine to be administered to all children ages 5 through 11. The Western States Scientific Safety Review Workgroup also issued its confirmation this morning. This news builds upon existing authorizations for children ages 12 and up. Within yesterday's announcement, the CDC provided the following helpful visual:

Authorized For	Pfizer-BioNTech	Moderna	J&J / Janssen
4 years and under	No	No	No
5-11 years old	Yes	No	No
12-17 years old	Yes	No	No
18 years and older	Yes	Yes	Yes

The vaccine for children ages 5 through 11, inclusive, is approximately 1/3 of the dosage provided to individuals ages 12 and older. As a result of this lower dosage, the availability of vaccines for children ages 5-11 will be increasing over the coming weeks as production ramps up. The needle used to provide shots of these lower doses is even smaller than the needle currently used for adult doses. As with all Pfizer-BioNTech vaccines, two doses are required in order to achieve full vaccination. For children ages 5-11, the second dose should be administered three weeks after the first dose.

Finally, the CDC indicates that all COVID-19 vaccines and the annual flu shot may be given at the same time. We will continue to keep you updated as more information becomes available.

Sincerely,

Original signed by:

PETE CERVINKA  
Chief, Data Analytics and Strategy

cc: On following page

**“Building Partnerships, Supporting Choices”**

Attachment 10

COVID-19 VACCINES FOR CHILDREN

November 3, 2021

Page two

cc: Regional Center Board Presidents  
Regional Center Administrators  
Regional Center Directors of Consumer Services  
Regional Center Community Services Directors  
Association of Regional Center Agencies

# RATE ADJUSTMENT IMPLEMENTATION

November 3, 2021





## AGENDA

- Welcome
- Background & Timeline
- Health Management Associates - Burns Introduction
- Process
  - Data
  - Compilation
- Q & A
- Next Steps



BACKGROUND & TIMELINE

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## VENDOR RATE STUDY

- Comprehensive study of vendor payment rates (ABX 2-1)
- Proposed standardized rates (based on detailed rate models) within each Regional Center for most services
- Generally proposed significant increases to payment rates for most vendors
- Given the significant variability in existing negotiated rates, impact varies by vendor and some have rates that exceed the applicable proposed rate



## VENDOR RATE STUDY (CONT.)

- As directed by ABX 2-1, the rate study included recommendations to simplify and standardize services (including consolidation of service codes)
- Developing rate models requires clear service standards
- For services without regulations, the rate study included the expectations on which the rate models are based
- AB 136 implements the rate models in phases by closing the gap between a vendor's current rate and the applicable rate model
- More equitable than an across-the-board increase, but means determining the appropriate increase must be done for every vendorization

## AB 136 RATE MODEL IMPLEMENTATION TIMELINE

April 1, 2022

- 25 percent of difference between March 31, 2022 rate and applicable rate model

July 1, 2023

- 50 percent of difference between March 31, 2022 rate and applicable rate model

July 1, 2025

- Full implementation of rate models with two payment components
  - Base rate equaling 90 percent of the rate model
  - Quality incentive program component of up to 10 percent of the rate model

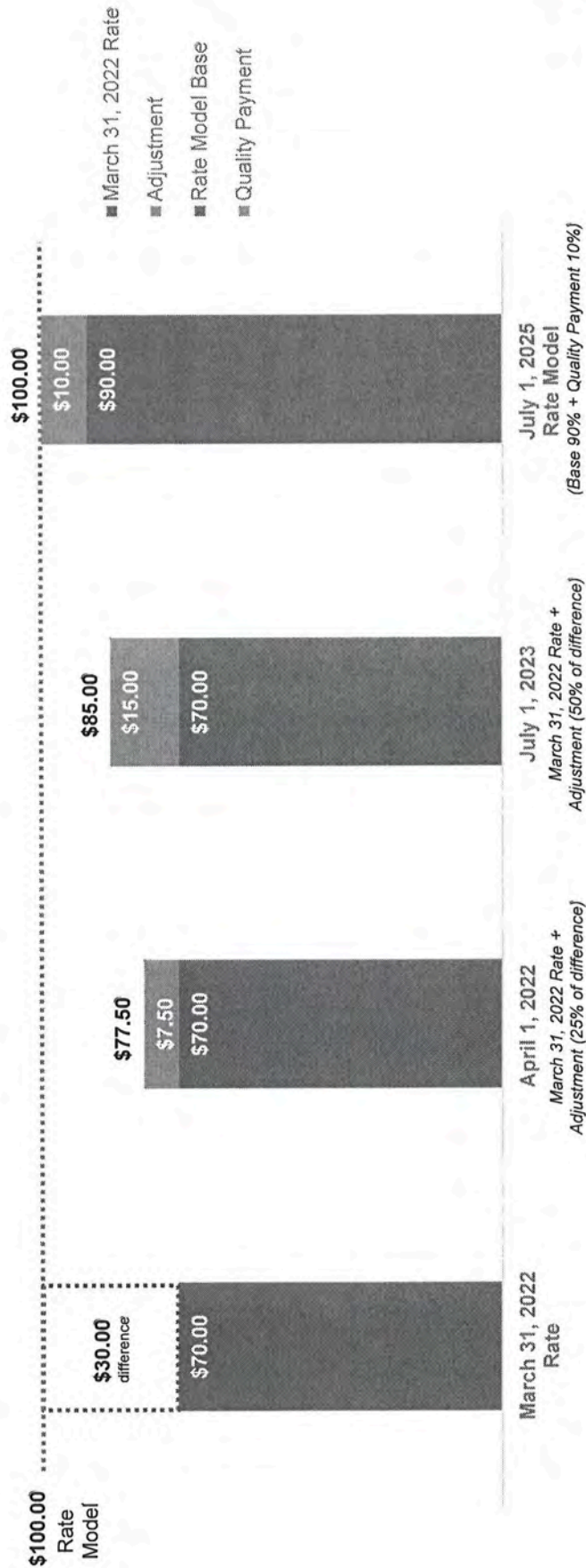


## UPDATED RATE MODELS

- Rate models established in March 2019 based on data available at that time, including a \$13 per hour minimum wage
- Rate models will be updated before rate adjustment calculations, to include
  - \$15/hr minimum wage assumption
  - Updated wage inflation estimate
  - Updated health benefits cost
  - Review of workers' compensation cost
  - Updated IRS mileage rate



## RATE ADJUSTMENT EXAMPLE







## AB 136 RATE MODEL IMPLEMENTATION (CONT.)

Changes that will not be required in the first phase of implementation

- Revendorization to new service codes
  - For example, look-alike day programs vended under 055 will ultimately need to be revended under the appropriate day program service code, but revendorization will not be required by April 2022
  - However, the April 1 rate increases will be tied to the appropriate rate model regardless of service code
  - Some issues, like staff qualifications, need to be worked through so not all details have been determined yet



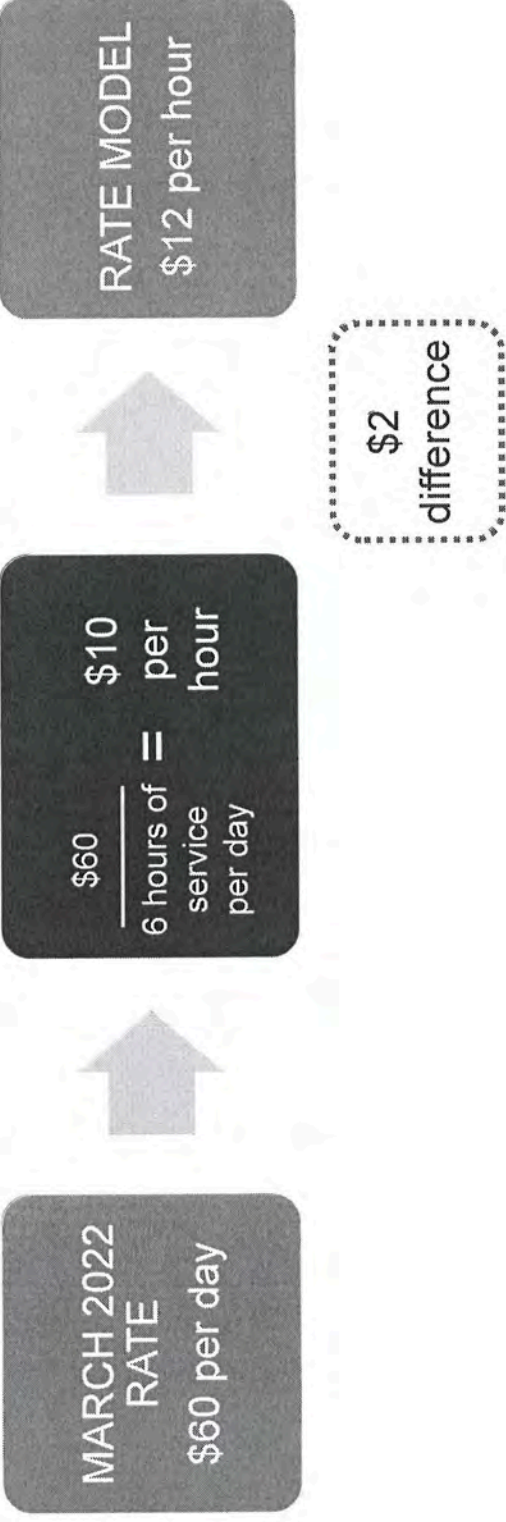
## AB 136 RATE MODEL IMPLEMENTATION (CONT.)

Changes that will not be required in the first phase of implementation (cont.)

- Changes to unit types
  - For example, all Day Programs will ultimately need to transition to hourly unit types (all daily units will ultimately need to be converted to hourly billing), but changes to unit types will not be required by April 2022
  - Since the rate model is based on an hourly rate, it will be necessary to determine the current effective hourly rate to calculate the increase for the daily rate that will be effective April 1, 2022 by applying program information gathered from RCs/providers
  - Certain 'unbundled' payments will need to be taken into account
- No rates will be reduced
  - Per AB 136, rates that would be reduced based on the rate study are held harmless until June 30, 2026



### DAILY RATE CONVERSION EXAMPLE





### DAILY RATE CONVERSION EXAMPLE (continued)





## EXCLUDED SERVICES

Services that were not part of the vendor rate study

Non-residential alternative services

Certain specialized programs and services, typically identified by subcode\*

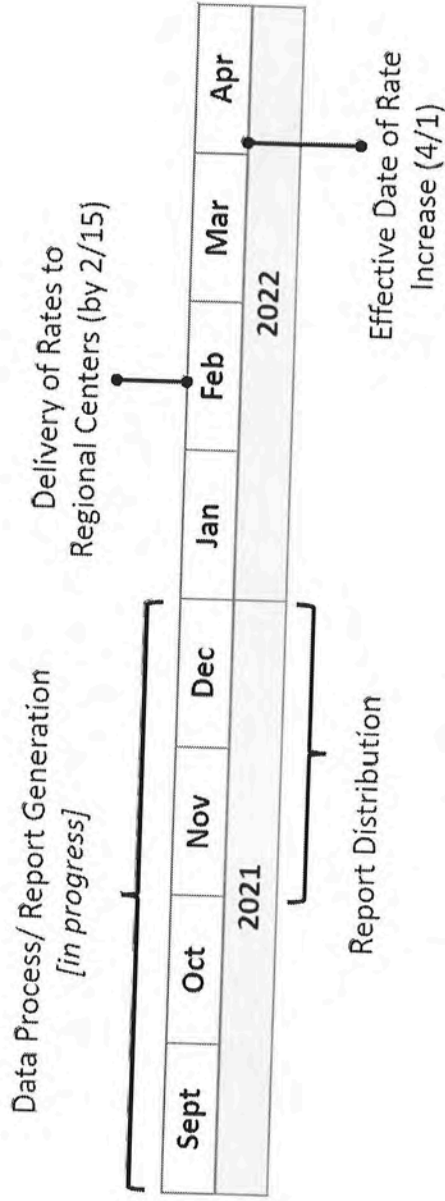
- Senior Program
- Tailored Day Service
- Specialized Training/Medical Services
- Paid Internship Program Payments
- Placement Incentive Payments
- Health Insurance Copays & Deductibles
- Independent Assessment Services (ILS/SLS)

\*Examples only, not a comprehensive list



Attachment I

## DATA SHARING TIMELINE



# PRELIMINARY SERVICE CODE BATCHES

## Group 1

025 - Tutor Services	510 - Adult Development Center
055 - Community Integration Training Program	645 - Mobility Training Services Agency
062 - Personal Assistance	650 - Mobility Training Services Specialist
073 - Parent Coordinator Supported Living Prog	680 - Tutor
091 - In-Home/Mobile Day Program	950 - Supported Employment-Group
093 - Parent-Coordinated Personal Assist Service	952 - Supported Employment-Individual
505 - Activity Center	954 - Rehab Work Activity Program

## Group 2

063 - Community Activities Support Services	894 - Supported Living Service Vendor Administration
109 - Program Support Group-Residential	896 - Supported Living Services
110 - Program Support Group-Day Service	904 - Family Home Agency
111 - Program Support Group-Other Services	905 - Residential Facility/ Adults-Owner Operated
515 - Behavior Management Program	910 - Residential Facility/ Children-Owner Operated
520 - Independent Living Program	915 - Residential Facility/ Adults-Staff Operated
525 - Social Recreation Program	920 - Residential Facility /Children-Staff Operated

## PRELIMINARY SERVICE CODE BATCHES (CONT.)

### Group 3

108 - Parenting Support Services	860 - Homemaker Services
113 - DSS Licensed-Spec Residential Facility	862 - In-Home Respite Services
115 - Specialized Therapeutic Services (ages 3 to 20)	864 - In-Home Respite Worker
116 - Early Start Specialized Therapeutic Services	875 - Transportation Company
117 - Specialized Therapeutic Services - (ages 21-plus)	880 - Transportation-Additional Component
605 - Adaptive Skills Trainer	882 - Transportation-Assistant
805 - Infant Development Program	883 - Transportation-Broker

### Group 4

420 - Voucher Respite	615 - Behavior Management Assistant
465 - Participant-Directed Respite Services	616 - Behavior Technician-Paraprofessional
475 - Participant-Directed Community-Based Training	620 - Behavior Management Consultant
612 - Behavior Analyst	635 - Independent Living Specialist
613 - Associate Behavior Analyst	





## OUTSTANDING ITEMS

- How rates for new vendorizations will be set
- How incentive payments will be considered in future rate adjustments
- Timing of change in unit types

- Defining programmatic requirements, including staff qualifications
- How hold harmless provisions will work



## INTRODUCTIONS

- Known as HMA-Burns
- Conducted the vendor rate study delivered in March 2019
- Performed detailed analysis of current rates and purchase of service data to develop non-residential alternative services delivery rates
- Assisting DDS in rate model implementation authorized by AB 136

**BURNS & ASSOCIATES**  
A DIVISION OF  
HEALTH MANAGEMENT ASSOCIATES





## DATA USED IN THE DETERMINATION OF RATE INCREASES

- Rates files for all vendorizations and subcodes
  - Separate files for Department-set rates and negotiated rates
  - Files combined to establish a complete listing of current unique rates based on Regional Center, service, subcode, and vendor number
- Purchase of service (POS) data ending June 30, 2021
  - POS data is being processed using the same methodology as utilized for the non-residential alternative service delivery rate calculations
  - Used to identify potential missing rates and large discrepancies compared to the rates files



## PROCESSING

For many services, additional information is needed before rate increases can be calculated

For each service code, a series of reports will be developed

- Ensure all current rates have been accurately identified
- Identify the appropriate rate model for determining the rate increase (e.g., for services where rate models vary by staffing ratio, need to know the current staffing ratio for each vendorization)
- HMA-Burns will produce detailed documentation of methodology for each report

Regional Centers will be asked to review the reports to identify erroneous conclusions and/or report information needed to determine appropriate rate model



## PROCESSING (CONT.)

### Missing Rates Report

- Rates (by Regional Center, Service, Subcode, and Vendor) that appear in the POS data but do not appear in the rates files

### Mismatched Rates Report

- Effective rates in the POS data that differ markedly (+/- 10%) from the amount appearing in the rates files

### Rate Unbundling Report (see following slides)

### Service Detail Collection Form (see following slides)



## PROCESSING – RATE UNBUNDLING REPORT

- Instances of multiple payments being made for the same service (e.g., base service rate with administrative costs billed using separate subcodes)
- POS data used to identify when a vendor bills multiple subcodes for an individual member(s)
  - Identified combinations will be further reviewed to determine
    - 1) if the utilization occurs simultaneously in one or more months and
    - 2) if the subcode with the lower rate is less than 20 percent of the sub-code with the higher rate
  - If both criteria are met, the subcodes are presumed to be unbundled activities that are part of the same service





## PROCESSING – RATE UNBUNDLING REPORT (CONT.)

- The identification of unbundled activities is intended to ensure equity between vendors
- Intent is that the cost of the unbundled rate (Admin in this example) will be added (bundled) to the service rate so a 'true' total rate can be calculated

	Service Rate	Admin Subcode	Current Totals	Rate Model	Service w/ 25%	New Total
Vendor A	\$30.00	\$0.00	\$30.00	\$40.00	\$32.50	\$32.50
Vendor B	\$28.00	\$2.00	\$30.00	\$40.00	\$31.00	\$33.00

- In this example both vendors start with the same effective rate, but Vendor B receives a larger rate if the unbundled amount billed under the Admin subcode is not considered



**For materials shared at meetings,  
please go to [www.sgprc.org](http://www.sgprc.org),  
click on the calendar and look for an event by date.  
There you will find a link to the materials for each meeting.**